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STATE OF MONTANA

*Office of the Legislative Fiscal Analyst*

STATE CAPITOL
HELENA, MONTANA 59620
406/444-2986

TERESA OLCOTT COHEA
LEGISLATIVE FISCAL ANALYST

April 7, 1992

TO: Legislative Finance Committee

FROM: Jim Haubein
Principal Fiscal Analyst

RE: Budget Amendments

The executive branch has certified 48 budget amendments for fiscal years 1992 and 1993 since the January committee meeting.

As summarized in Table 1, these amendments propose to add $12,149,016 in fiscal 1992 and $11,819,051 in fiscal 1993. These budget amendments propose to add 62.52 FTE.

STATE DOCUMENTS COLLECTION
DEC 8 1992
MONTANA STATE LIBRARY
1515 E. 6th AVE.
HELENA, MONTANA 59620

PLEASE RETURN

| Table 1<br>Budget Amendment Summary<br>April 7, 1992 | | |
|---|---|---|
| | Fiscal 1992 | Fiscal 1993 |
| Number of Amendments | 37 | 35 |
| FTE Added | 42.57 | 19.95 |
| State Special | $ 162,951 | $ 395,526 |
| Federal | 2,307,240 | 10,524,448 |
| Proprietary | 5,775,172 | 356,210 |
| Current Unrestricted | 3,903,653 | 542,867 |
| Total | $12,149,016 | $11,819,051 |

*There are 25 budget amendments shown in both fiscal 1992 and fiscal 1993 because the requests cover both fiscal years.

Staff has reviewed the amendments and noted eight which do not comply with statutory budget amendment requirements. These are: 1) budget amendment 0957 (page 18) is requesting authority from the state special revenue fund but does not meet the emergency criteria defined in statute; 2) budget amendment 0981 (page 36) was available for legislative consideration); 3) budget amendment 1011 (page 59) does not include specific evaluation criteria; 4) budget amendment 0965 (page 23) may impact general fund in the 1995 biennium; 5) budget amendment 0985 (page 40) could have been considered by the 1991 legislature; 6) the pay plan budget amendments for the universities (page 10) and the vo-tech centers (page 13) do not provide any added services; and 7) budget amendment 1032 (page 60) fails to meet the statutory budget amendment requirements in three areas as shown on the attached analysis sheet.

Budget amendments marked with an (*) in Table 2 would add spending authority for funds which have not yet been received by the state. The committee may want to condition its acceptance of these amendments upon receipt of the funds.

Table 2 lists the proposed amendments in numerical order with the administering agency. Individual analysis of the budget amendments follow Table 3.

Table 2
Budget Amendments Proposed for Committee Action
April 7, 1992

| B/A # | Agency | Amount | FTE | Funding |
|---|---|---|---|---|
| 1012-3 | Commissioner of Higher Education | $1,424,027 | 0.00 | Federal |
| 9204&7 | Vo-Techs-Additional Tuition | 60,000 | 0.00 | Cur. Unres. |
| Various | U-System Pay Plan | 420,531 | 0.00 | Cur. Unres. |
| 0234 | Coop Extension Service | 24,000 | 0.00 | Cur. Unres. |
| Various | Vo-Techs - Pay Plan | 122,336 | 0.00 | Cur. Unres. |
| *Various | U-System - FTE Increase | 3,819,653 | 37.78 | Cur. Unres. |
| *0957 | Corrections and Human Services | 3,381 | 0.00 | Federal |
| | | 1,219 | 0.00 | State Special |
| *0958 | Corrections and Human Services | 6,459 | 0.00 | Federal |
| *0959 | Corrections and Human Services | 20,066 | 0.75 | Federal |
| 0960 | Historical Society | 60,000 | 1.17 | State Special |
| 0964 | Department of Military Affairs | 300,000 | 0.00 | Federal |
| *0965 | Department of Commerce | 7,705,872 | 6.25 | Federal |
| 0966 | Department of Military Affairs | 11,340 | 0.40 | Federal |
| 0967 | Department of Justice | 13,318 | 0.00 | Federal |
| *0968 | Board of Crime Control | 50,000 | 0.00 | Federal |
| *0969 | Board of Crime Control | 118,000 | 0.00 | Federal |
| *0970 | Board of Crime Control | 30,000 | 0.00 | Federal |
| *0971 | Board of Crime Control | 70,000 | 0.00 | Federal |
| 0973 | Department of Military Affairs | 50,000 | 0.00 | Federal |
| *0974 | Highway Traffic Safety | 800,000 | 0.00 | Federal |
| *0978 | Department of Natural Resources | 250,000 | 0.00 | Federal |
| 0979 | Department of Natural Resources | 2,048 | 0.00 | Federal |
| *0980 | Department of Natural Resources | 13,986 | 0.00 | Federal |
| 0981 | Department of Labor | 108,874 | 3.96 | State Special |
| *0982 | Department of Family Services | 180,526 | 0.00 | Federal |
| 0983 | Department of SRS | 16,405 | 0.00 | Federal |
| 0984 | Montana State Library | 38,379 | 0.00 | State Special |
| 0985 | Department of State Lands | 248,500 | 0.16 | Proprietary |
| 0986 | Department of State Lands | 24,900 | 0.00 | Federal |
| *0987 | Department of Health | 138,983 | 1.74 | Federal |
| *0988 | Department of Health | 160,098 | 1.05 | Federal |
| *0989 | Department of Health | 20,970 | 0.74 | Federal |
| 0990 | Department of Health | 12,000 | 0.00 | Federal |
| *0991 | Department of Health | 100,000 | 0.00 | Federal |
| 0992 | Department of Health | 147,113 | 2.48 | State Special |
| 0993 | Department of Health | 134,730 | 3.72 | Proprietary |
| 0994 | Department of Health | 245,000 | 0.00 | Federal |
| 0995 | Department of Health | 827,202 | 0.00 | Federal |
| *0996 | Department of Health | 60,000 | 0.00 | Federal |
| 0997 | Department of Health | 47,152 | 1.16 | Proprietary |
| 0998 | Department of Health | 64,192 | 1.16 | State Special |
| 0999 | Department of Health | 35,000 | 0.00 | State Special |
| 1000 | Department of Health | 167,027 | 0.00 | Federal |
| 1009 | Department of Administration | 201,000 | 0.00 | Proprietary |
| *1010 | Department of Transportation | 10,080 | 0.00 | Federal |
| 1011 | State Fund | 5,500,000 | 0.00 | Proprietary |
| 1032 | Department of Livestock | 73,700 | 0.00 | State Special |
| *0001 | E.Q.C. | 30,000 | 0.00 | State Special |
| Totals | | $23,968,067 | 62.52 | |

Table 3 shows all budget amendments which have been reviewed by the committee during the 1993 biennium. It also includes the budget amendments in House Bill 4 which were approved during the 1991 legislative session. The total to date for the biennium is $37.1 million and 122.7 FTE.

Table 3
Budget Amendments Review
1993 Biennium

| Meeting Date | # of Amendments | FY92 | FY93 | Biennial Total | FTE |
|---|---|---|---|---|---|
| House Bill 4 | 11 | $ 196,161 | $ 0 | $ 196,161 | 1.75 |
| June 14, 1991 | 21 | 1,783,465 | 35,000 | 1,818,465 | 10.25 |
| September 19, 1991 | 49 | $ 5,740,598 | $ 744,062 | $ 6,484,660 | 18.94 |
| November 15, 1991 | 38 | $ 1,869,790 | $ 558,993 | $ 2,428,783 | 9.55 |
| January 2, 1992 | 24 | $ 1,801,488 | $ 414,416 | $ 2,215,904 | 19.65 |
| Prior Totals | 143 | $ 11,391,502 | $ 1,752,471 | $13,143,973 | 60.14 |
| April 2, 1992 | 48 | $ 12,149,016 | $11,819,051 | $23,968,067 | 62.52 |
| Current Totals | 191 | $ 23,540,518 | $13,571,522 | $ 37,112,040 | 122.66 |

## STANDARD MOTION LANGUAGE

1. I move that the Fiscal Analyst be authorized to notify the Budget Director that the budget amendments submitted meet statutory criteria.

2. I move that the Fiscal Analyst be authorized to notify the Budget Director that the budget amendments submitted do not meet statutory criteria.

3. I move that the Fiscal Analyst be authorized to notify the Budget Director that budget amendment XXX would meet statutory criteria if ...

BA2:mb:BAR4-7.rpt

# BUDGET AMENDMENT - FISCAL 1992

## AGENCY: COMMISSIONER OF HIGHER EDUCATION

| BA# | PROGRAM | FUND SOURCE | AMOUNT | FTE | 1. Funds were available for legislative consideration | 2. There is a general fund commitment | 3. There was no emergency | 4. No specific added services | 5. Matter could have been presented to legislature | 6. Exceeds biennium line limit | 7. Expenditures not itemized w/ year | 8. The service is not necessary | 9. There were reasonable alternatives | 10. No specific evaluation criteria |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1012 | GSL | Crnt. Unrestricted | $610,239 FY92 | 0.00 | | | | | | | | | | |
| 1013 | | Crnt. Unrestricted | $813,788 FY93 | 0.00 | | | | | | | | | | |

COMMENTS: The Guaranteed Student Loan (GSL) program seeks additional federal authority in both fiscal 1992 and fiscal 1993. The source of these funds is additional revenues collected through guarantee fees and administrative cost allowances due to increased volume of student loans, and from supplemental pre-claims assistance payments from the Department of Education for accounts successfully prevented from going into default.

ADDED SERVICES: The additional expenditures requested fall into three categories:

1) additions due to an increase in volume of student loans serviced,
2) additional contract, rental, and equipment costs, and
3) an accounting change.

Student Loan Volume Additions - $127,761 in fiscal 1992; $171,234 in fiscal 1993.

GSL estimates that the volume of student loans will total $60 million in fiscal 1992 and $65 million in fiscal 1993, increases of 15 percent and 25 percent over the budgeted level of $52 million, respectively. Certain fees paid and costs incurred by GSL are dependent upon loan volume, including reinsurance fees to the U.S. Department of Education, servicing fees to the database servicer, and communications costs with borrowers.

Additional Contract, Rental, and Equipment Costs - $100,756 in fiscal 1992; $292,554 in fiscal 1993.

GSL is requesting funds for four additions:

1) payment to the Montana Higher Education Student Assistance Corporation (MHESAC) for a portion of the personnel expenses for seven employees who provide services that directly benefit GSL. The costs are based upon the portion of time the personnel are engaged in GSL activities;

2) costs to participate in MUSENET, the university system's networking system, which allows electronic transmission of certain information;

3) additional rental and utilities costs accruing due to the movement of the GSL offices to the new Commissioner of Higher Education (CHE) and MHESAC office facilities in fiscal 1993. The additional charge results from an increase in square footage floor space occupied (by 3,803 sq. ft. to 15,209 sq. ft.) and an increase in cost per square foot of $1.24 annually;

4) an increase in the number of computer system ports due to increased staff size and loan volume.

Accounting Change - $381,722 in fiscal 1992; $350,000 in fiscal 1993.

The U.S. Department of Education (DOE) allows GSL to retain 30 percent of the value of defaulted loans collections. GSL pays outside agencies a fee of 22 to 25 percent of the value of defaulted loans collected. In the past, the fee paid to outside collection agency was netted against the retained collections from the (DOE). GSL is requesting additional authority to more accurately reflect the costs of outside collection agencies. No additional services will be added. This authority will be used to reflect expenditures currently being made. A corresponding increase in revenue will also be shown on all financial statements.

The additional authority requested is summarized below:

| Service | Fiscal 1992 | Fiscal 1993 |
|---|---|---|
| Reinsurance Fees | $ 20,000 | $ 32,500 |
| USAF Servicing | 43,975 | 71,759 |
| Communications | 63,786 | 66,975 |
| MHESAC | 79,756 | 83,744 |
| MUSENET | 21,000 | 21,000 |
| Rental/Utilities | -0- | 137,810 |
| Computer Upgrade | -0- | 50,000 |
| Accounting Change | 381,722 | 350,000 |
| Total | $610,239 | $813,788 |

EFFECTIVENESS EVALUATION: The Guaranteed Student Loan Program will provide these services in fiscal 1992 and fiscal 1993 and will reflect the additional revenue and expenditures in all accounting and budgetary documents. These documents, along with GSL's financial statements, will be provided to the LFA no later than October 1 of fiscal years 1992 and 1993.

BA2:mb:TLP3-27.02

# BUDGET AMENDMENT - FISCAL 1992

## AGENCY: BILLINGS VOCATIONAL TECHNICAL CENTER

| BA# | PROGRAM | FUND SOURCE | AMOUNT | FTE | 1. Funds were available for legislative consideration | 2. There is a general fund commitment | 3. There was an emergency/ allocation | 4. No specific added services | 5. Matter could have been presented to legislature | 6. Exceeds biennium rev. limit | 7. Expenditures not itemized b/ year | 8. The service is not necessary/ | 9. There were reasonable alternatives | 10. No specific evaluation criteria |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 9204 | Instruction | Crnt. Unrestricted | $50,000 FY92 | 0.00 | | | | | | | | | | |

COMMENTS: The Billings Vocational Technical Center will realize revenue of $50,000 above the original revenue estimates for FY92 of: 1) $40,000 due to enrollment increases of 15.3 percent over FY91. In addition to the actual number increase of students, enrollment records indicate that many students have increased their credit loads; 2) $5,000 increase in administrative costs received for federal programs (Carl Perkins grants, PELL, SEOG, & CWS); and 3) $5,000 in additional building rental fees charged to organizations using the center.

ADDED SERVICES: $20,000 will be used in the Instruction program to purchase the following additional equipment:

| | |
|---|---|
| refrigerator reclaimers | $9,600 |
| rate jet part cleaner | 7,800 |
| wire machines, metal | 2,600 |
| Total | $20,000 |

$30,000 will be expended in Student Services program for computer consultant and recruiting expenses.

| | |
|---|---|
| computer consultant | $12,000 |
| computer printers | 5,000 |
| printing costs | 5,000 |
| communications | 8,000 |
| Total | $30,000 |

EFFECTIVENESS EVALUATION: 1) The Center's SBAS/PAMS records, enrollment reports and RERS are available to verify revenues, expenditures, equipment purchases, FTE and personal services; 2) the FY93 operating budgets will reflect actual expenditures and revenues. A copy of this document will be provided to the LFA.

BA2:mb:RMC3-26.02

# BUDGET AMENDMENT - FISCAL 1992

## AGENCY: HELENA VOCATIONAL TECHNICAL CENTER

| BA# | PROGRAM | FUND SOURCE | AMOUNT | FTE | 1. Funds were available for legislative consideration | 2. There is a general fund commitment | 3. There was no emergency allocation | 4. No specific added services | 5. Matter could have been presented to Legislature | 6. Exceeds biennium time limit | 7. Expenditures not limited by year | 8. The service is not necessary | 9. There were reasonable alternatives | 10. No specific evaluation criteria |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 9207 | Instruction | Crnt. Unrestricted | $10,000 FY92 | 0.00 | | | | | | | | | | |

COMMENTS: The Helena Vocational Technical Center seeks authority to spend an additional $10,000 in the Instruction program as a result of increased tuition due to increased student counts for FY92.

ADDED SERVICES: The $10,000 will be used in the instruction program to purchase equipment.

EFFECTIVENESS EVALUATION: The Center SBAS/PAMS record will verify that the funds were expended as authorized. The Helena Vocational Technical Center will provide a listing of equipment purchased by this budget amendment and which instructional programs benefited and how.

BA2:mb:RMC3-26.03

UDGET AMENDMENT - FISCAL 1993

GENCY: SIX UNIVERSITY UNITS

| # | PROGRAM | FUND SOURCE | AMOUNT | FTE | 1. Funds were available for legislative consideration | 2. There is a general fund commitment | 3. There was no emergency allocation | 4. No specific added services | 5. Matter could have been presented to legislature | 6. Exceeds biennium time limit | 7. Expenditures not itemized w/ year | 8. The service is not necessary | 9. There were reasonable alternatives | 10. No specific evaluation criteria |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | | | | | | X | | | | | | |
| 900 - 901 | MSU | Crnt. Unrestricted | $115,163 FY93 | 0.00 | | | | | | | | | | |
| 01 - 02 | UM | Crnt. Unrestricted | 108,684 FY93 | 0.00 | | | | | | | | | | |
| 01 | EMC | Crnt. Unrestricted | 25,471 FY93 | 0.00 | | | | | | | | | | |
| 92040-41 | NMC | Crnt. Unrestricted | 39,061 FY93 | 0.00 | | | | | | | | | | |
| 01 | WMCUM | Crnt. Unrestricted | 39,531 FY93 | 0.00 | | | | | | | | | | |
| 01 - 02 | MCMST | Crnt. Unrestricted | 92,621 FY93 | 0.00 | | | | | | | | | | |
| Total | | | $420,531 | | | | | | | | | | | |

COMMENTS: House Bill 509 (the pay plan bill) enacted by the 1991 legislature added tuition authority of $1,160,000 in fiscal 1992 and $1,904,000 in fiscal 1993 to partially fund pay plan costs in the six university units (general fund of $4,113,000 and $6,752,000 for the six units, the experiment stations, extension service, Bureau of Mines, and Fire Services Training School was also added). This additional revenue was to be generated via an increase in tuition and fee charges.

At its June 1991 meeting, the Board of Regents raised tuition and fees by the following amounts: 1) $7.50 per semester registration fee; 2) $1.50 per semester credit hour incidental fee; and 3) $13.50 per semester credit hour non-resident tuition addition. These increases were designed not only to raise the revenue already appropriated in House Bill 509, but to fund several adjustments to the state pay plan made by the Regents that resulted in an increase in costs of $1,164,531 (including $411,552 in additional fee waivers) over the House Bill 509 appropriation (the Commissioner of Higher Education also contends that House Bill 509 does not adequately fund classified and part-time and other staff). The six university units received authority in fiscal 1992 via budget amendments to expend the additional revenues generated over the amount appropriated in House Bill 509.

This amendment seeks authority to spend additional tuition and fee revenue over the amount appropriated in House Bill 509 **in fiscal 1993**. The following shows the total amount of tuition revenue appropriated for the 1993 biennium in House Bill 509, the amount added via budget amendment in fiscal 1992, and the requested amount in this budget amendment for fiscal 1993.

| | Fiscal 1992 | Fiscal 1993 |
|---|---|---|
| House Bill 509 | $1,160,000 | $1,904,000 |
| Budget Amendment | 1,164,531 | 420,531 |
| Total Add'l Revenues | $2,324,531 | $2,324,531 |

The following is a breakdown of how the additional funds are distributed. As shown, all but a small amount is for additional fee waivers due to the higher charges.

| | Tuition/Fees | Fee Waivers | Total |
|---|---|---|---|
| MSU | $ 772 | $114,391 | $115,163 |
| UM | 798 | 107,886 | 108,684 |
| EMC | 276 | 25,195 | 25,471 |
| NMC | 113 | 38,948 | 39,061 |
| WMCUM | 0 | 39,531 | 39,531 |
| MCMST | 101 | 92,520 | 92,621 |
| Total | $2,060 | $418,471 | $420,531 |

In addition to the amounts shown above, the Agriculture Experiment Station is seeking additional appropriation authority of $34,247 to spend sales/service revenue to fund the pay plan for its Livestock and Range Research Laboratory employees.

ADDED SERVICES: No additional services are added. The funds will be used for pay increases over the amount appropriated in House Bill 509 and for additional fee waivers.

EFFECTIVENESS EVALUATION: The salary increases approved by the Board of Regents will be monitored via the following:

1) The Regents Employee Reporting System (RERS) is now operational. The personal services budgets and actual expenditures may now be monitored by LFA staff for the current unrestricted funds of the University System;

2) The fiscal 1993 operating budgets will include this level of tuition revenue and the related fee waivers. These budgets must be approved by the Board of Regents by October 1, 1993. The LFA will receive a copy of this document.

3) The fiscal 1994 operating budgets will reflect fiscal 1993 actual expenditures for personal services and fee waivers, as well as actual tuition and fee revenues. This document will also be forwarded to the LFA by October 1, 1993.

BA2:mb:TLP3-27.04

# BUDGET AMENDMENT - FISCAL 1992

## AGENCY: COOPERATIVE EXTENSION SERVICE

| BA# | PROGRAM | FUND SOURCE | AMOUNT | FTE | 1. Funds were available for legislative consideration | 2. There is a general fund commitment | 3. There was no emergency allocation | 4. No specific added services | 5. Matter could have been presented to Legislature | 6. Exceeds biennium time limit | 7. Expenditures not itemized by year | 8. The service is not necessary | 9. There were reasonable alternatives | 10. No specific evaluation criteria |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 234 | Public Services | Federal | $24,000 FY92 | 0.00 | | | | | | | | | | |

COMMENTS: This budget amendment requests authority to expend additional federal Smith-Lever over the amount appropriated by the 1991 legislature.

ADDED SERVICES: The Extension Service will use the additional authority for two purposes:

1) remodel space at the University of Montana School of Forestry to house Extension Forestry personnel; and
2) search costs for the Dean of Outreach.

EFFECTIVENESS EVALUATION: Effectiveness will be evaluated based upon two criteria:

1) a written memo will be sent to the LFA after the remodeling job is completed and staff are in place (the work was scheduled to begin April 1 and completed within 30 days); and

2) a written memo on the Dean of Outreach candidate chosen, the person's start date, and the costs of the entire search process will be forwarded to the LFA by August 1, 1992.

BA2:mb:TLP3-27.01

# BUDGET AMENDMENT - FISCAL 1993

## AGENCY: MONTANA VOCATIONAL TECHNICAL CENTERS

| BA# | PROGRAM | FUND SOURCE | AMOUNT | FTE | 1. Funds were available for legislative consideration | 2. There is a general fund commitment | 3. There was an emergency allocation | 4. No specific added services | 5. Matter could have been presented to legislature | 6. Exceeds biennium time limit | 7. Expenditures not itemized w/ year | 8. The service is not necessary | 9. There were reasonable alternatives | 10. No specific evaluation criteria |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | | | | | | X | | | | | | |
| 1 | Billings | Crnt. Unrestricted | $20,144 FY93 | 0.00 | | | | | | | | | | |
| 5038 | Butte | Crnt. Unrestricted | 3,838 FY93 | 0.00 | | | | | | | | | | |
| 5039 | Butte | Crnt. Unrestricted | 8,000 FY93 | 0.00 | | | | | | | | | | |
| 5040 | Butte | Crnt. Unrestricted | 6,000 FY93 | 0.00 | | | | | | | | | | |
| 931 | Great Falls | Crnt. Unrestricted | 29,770 FY93 | 0.00 | | | | | | | | | | |
| 1 | Helena | Crnt. Unrestricted | 28,235 FY93 | 0.00 | | | | | | | | | | |
| 93001 | Missoula | Crnt. Unrestricted | 26,349 FY93 | 0.00 | | | | | | | | | | |
| | | | $122,336 FY93 | | | | | | | | | | | |

COMMENTS: House Bill 509 (the pay plan bill) enacted by the 1991 legislature provided additional general fund for state agencies to implement the pay plan for the 1993 biennium. From House Bill 509 the five vocational technical centers were allocated $668,526 in general fund for fiscal 1993 to implement the pay plan. However, no additional authority was included in House Bill 509 for tuition increase necessary to meet the requirements of the pay plan. This budget amendment increases the tuition authority of the five centers by $122,336 for fiscal year 1993.

Beginning FY92 and continuing through FY93, the Board of Regents approved the following semester tuition increases to assist the centers with their pay plan obligation:
$5.00 registration fee
$1.50 per credit incidental fee
$2.00 per credit non resident tuition addition

These increases are expected to raise an additional $122,336 for FY93. The Office of the Commissioner of Higher Education (CHE) estimates that this amount, plus the general fund appropriation in HB509 would provide an average 2.0% increase to vocational technical center employees above the FY92 level.

ADDED SERVICES: No additional services are provided by this budget amendment. The budget amendment will be used to provide salary increases to employees of the Vocational Technical Centers based upon the schedules in HB509.

EFFECTIVENESS EVALUATION: 1) In fiscal 1992 the Regents Employees Reporting System (RERS) became operational. The personal services budgets and actual expenditures may be monitored by the LFA from that date forward for the current unrestricted funds of the University System. 2) The FY93 operation budgets will include this level of tuition revenue and the related fee waivers. These budgets must be approved by the Board of Regents by October 1, 1992. The LFA will receive a copy of this document. 3) The FY94 operation budgets will reflect actual expenditures for personal services and fee waivers, as well as actual FY93 tuition and fee revenues. This document will also be forwarded to the LFA by October 1, 1993.
BA2:mb:RMC3-26.01

# BUDGET AMENDMENT - FISCAL 1992

## AGENCY: SIX UNIVERSITY UNITS

| BA# | PROGRAM | FUND SOURCE | AMOUNT | FTE | 1. Funds were available for legislative consideration | 2. There is a general fund consistency | 3. There was no emergency allocation | 4. No specific added services | 5. Matter could have been presented to legislature | 6. Exceeds biennium time limit | 7. Expenditures not limited w/ year | 8. The service is not necessary | 9. There were reasonable alternatives | 10. No specific evaluation criteria |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 413-417 | MSU | Crnt. Unrestricted | $894,000 | FY92 5.64 | | | | | | | | | | |
| 263-268 | UM | Crnt. Unrestricted | 2,435,674 | FY92 32.14 | | | | | | | | | | |
| 92036-92039 | NMC | Crnt. Unrestricted | 218,579 | FY92 0.00 | | | | | | | | | | |
| 26 | WMCUM | Crnt. Unrestricted | 96,400 | FY92 0.00 | | | | | | | | | | |
| 42 | MCMST | Crnt. Unrestricted | 175,000 | FY92 0.00 | | | | | | | | | | |
| Total | | | $3,819,653 | 37.78 | | | | | | | | | | |

COMMENTS: The Montana University System (MUS) is requesting authority in fiscal 1992 for tuition and fees revenue collected over the appropriated amount due primarily to an actual or projected increase in full-time equivalent (FTE) students over the level used for budgeting purposes at five of the six university units (Eastern Montana College is not experiencing higher than anticipated revenues). Montana State University is also requesting authority to spend an increase in miscellaneous revenues (including financial aid administration, land grant and investment income, and miscellaneous fines or sales) over the amount appropriated.

In total, the five campuses are requesting additions in the following programs:

| | |
|---|---|
| Instruction | $2,361,562 |
| Scholarships and Fellowships | 241,877 |
| Support | 947,765 |
| Physical Plant | 268,449 |
| Total | $3,819,653 |

Some of the added services provided by this budget amendment are already being done. These are discussed in the Added Services Section.

The Board of Regents has made approval of these budget amendments contingent upon actual receipt of the additional revenues. The committee may wish to make its recommendation with the same contingency.

ADDED SERVICES: The services to be added are summarized below, by campus:

MSU

**Alumni Development** - $14,000. These funds would be used to support a position to supervise development efforts associated with the institutional matching commitment on the Engineering Physical Sciences complex. According to MSU, the position will be terminated at the conclusion of the project.

**Surcharge Relief Program** - $2,000. This addition would fund the additional staff costs incurred to process student applications for relief from the $15 per semester credit hour surcharge planned but later rescinded by the Board of Regents.

**Sections** - $147,683. The university added 43 extra class and laboratory sections in fiscal 1992.

**SEOG Match** - $5,296. The state must provide a 15 percent match on federal SEOG (a financial aid program) funds. These funds would be used to match SEOG funds received in excess of the anticipated level.

**Search Costs** - $38,000. These funds would be used to conduct and complete searches for three positions: Vice-President for Academic Affairs, Vice-President for Research, and the Dean of the College of Agriculture.

**METNET** - $9,501. Each university unit is being assessed a charge to participate in the Montana Educational Telecommunications Network (METNET) in the 1993 biennium. This addition would fund MSU's assessment.

**Settlements** - $50,000. This appropriation would be used to pay a $15,000 wrongful discharge claims and an anticipated $35,000 claim.

**Athletics** - $80,000. MSU is requesting authority to pay football and women's volleyball coaching termination costs. Included in this total is the cost to pay for two coaching staffs for two months, as the university hired a new staff until the previous staff's contract officially ended.

**Benefit Pool Shortfall** - $359,489. This addition is due to two factors: 1) higher than anticipated payout costs due to the retirements of several long-time employees ($100,000); and 2) higher than budgeted basic monthly benefit costs.

**Deferred Maintenance Reductions** - $188,031. These funds would be used to conduct deferred maintenance projects originally postponed to meet the university's budget recision target.

UM

**Instruction Program** - $1,634,990. Included in this total are $15,000 for the Institute for Medicine and Humanities, $200,000 for instructional computing, $1,354,667 for increased sections, etc. due to the increased enrollment, $54,433 for increased benefits expenditures, and $10,890 in miscellaneous expenditures.

**Support** - $538,968. The primary expenditure in support would be for library automation ($348,245). The remainder of the expenditures are for a variety of additional support services.

**Physical Plant** - $45,418. These funds would primarily be used to meet additional workload.

**Fee Waivers** - $216,298.

NMC

**Instruction** - $133,000. This addition would primarily be used for additional faculty and direct instructional expense such as photocopying and consumable supplies.

**Support** - $60,000. Additions in this program include additional staff in the Registrars, Admissions, and Student Services offices, and additional purchases in the library.

**Scholarships and Fellowships** - $25,579.

WMCUM

**Instruction** - $96,400. These funds would be used to pay salaries and benefits for 3.5 FTE additional faculty.

MCMST

**Instruction** - $175,000. The additional funds would be used for two purposes: 1) $147,000 to support accreditation standards and add course sections; and 2) $28,000 for capital needs in the instruction program.

EFFECTIVENESS EVALUATION: The additions will be evaluated as follows:

MSU - 1) satifaction of the instituional matching commitment for the Engineering Physical Science complex; 2) summarization of course evaluations of the added sections; 3) ability to match the additional SEOG grants; 4) submission of a report to the LFA detailing all costs related to personnel search activities; 5) maintenance of METNET statistics and completion of a user survey; 6) satisfaction of wrongful discharge claims against the university and submission of a report on the status of the claims to the next legislature; 7) comparison of the success of the current athletic teams to prior teams, graduation rates of student athletes, and booster contributions; 8) provision of a summary report to the LFA of total benefit costs for the current fiscal year by October 1, 1992; and 9) submission of a report on the number of additional deferred maintenance projects initiated and/or completed as a result of the additional funding.

UM - Completion and submission of a written evaluation detailing: 1) the credit hours generated in the Instruction Program; and 2) a review of the nubmer of applications processed, the number of stduents registered, the number of loans processed, the number of payroll warrants processed, and the percentage increase of use of student services such as the counseling center and career services.

NMC - Analysis of stduent record data showing the enrollment of the number of students projects, who would require approximately 60 additional sections of classes.

WMCUM - WMCUM will serve an additional 58 students over the number budgeted for through the addition of 24 classes.

MCMST - None Provided

BA2:mb:TLP3-27.03

# BUDGET AMENDMENT - FISCAL 1993

## AGENCY: CORRECTIONS AND HUMAN SERVICES

| BA# | PROGRAM | FUND SOURCE | AMOUNT | FTE | 1. Funds were available for legislative consideration | 2. There is a general fund constituent | 3. There was no emergency allocation | 4. No specific added services | 5. Matter could have been presented to legislature | 6. Exceeds biennium time limit | 7. Expenditures not itemized by year | 8. The service is not necessary | 9. There were reasonable alternatives | 10. No specific evaluation criteria |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 0957 | Corrections | State Special | $1,219 FY93 | 0.00 | | | X | | | | | | | |
| | | Federal | $3,381 FY93 | | | | | | | | | | | |

COMMENTS: This budget amendment would allow the department to use Montana Board of Crime Control federal grant funds to provide selected felons with local community substance abuse treatment as an alternative to prison incarceration. Inmate contributions to a state special revenue account will be used to match the federal funds. The expenditure of these state special revenues does not appear to meet the emergency criteria of section 17-7-403 (3), MCA. That section states that "the approving authority shall certify than an emergency justifies the expenditure and shall state the specific nature of the emergency, the date on which the requesting agency became award of the emergency, and the manner in which the requesting agency became aware of the emergency."

Since the grant award has not yet been received, the committee may wish to make its approval contingent upon receipt of the grant award.

ADDED SERVICES: These funds will be used to implement and administer a pilot intensive outpatient substance abuse program. The program will provide 10 slots for people to undergo intensive outpatient treatment in a local state-certified substance abuse program.

EFFECTIVENESS EVALUATION: Evaluation will be based on two indicators: 1) placement of 8-10 chemically dependent inmates in the out-patient program during the grant period; and 2) a 75 percent success rate as defined by completion of the program and maintenance of drug-free life for one year.

BA2:mb:SLW3-24.01

# UDGET AMENDMENT - FISCAL 1993

GENCY: CORRECTIONS AND HUMAN SERVICES

| AN | PROGRAM | FUND SOURCE | AMOUNT | FTE | 1. Funds were available for legislative consideration | 2. There is a general fund commitment | 3. There was an emergency allocation | 4. No specific added services | 5. Matter could have been presented to legislature | 6. Exceeds biennium time limit | 7. Expenditures not itemized by year | 8. The service is not necessary | 9. There were reasonable alternatives | 10. No specific evaluation criteria |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 0958 | Corrections | Federal | $6,459 FY93 | 0.00 | | | | | | | | | | |

COMMENTS: This amendment will allow the expenditure of a Carl Perkin's Vocational Education grant from the Office of Public Instruction. The funds will be used to provide partial support for a Community Release Coordinator at the Alternatives, Inc., a private pre-release center in Billings that contracts with the department. Since the grant award has not yet been received, the committee may wish to make its approval contingent upon receipt of the grant award.

ADDED SERVICES: The Community Release Coordinator will coordinate the intake, vocational assessment, and eventual vocational placement for pre-release residents at the Billings center.

EFFECTIVENESS EVALUATION: The evaluation of this budget amendment will be based on: 1) assistance for 104 residents in the development of a chemical dependency and vocational/educational plan; 2) enrollment of 35 students in the World of Work program; 3) enrollment of 20 residents in Adult Education Services; 4) placement of 90 residents in employment positions; and 5) placement of 10 residents in vocational training programs.

BA2:mb:SLW3-24.02

UDGET AMENDMENT - FISCAL 1993

GENCY: CORRECTIONS AND HUMAN SERVICES

| # | PROGRAM | FUND SOURCE | AMOUNT | FTE | 1. Funds were available for legislative consideration | 2. There is a general fund commitment | 3. There was no emergency allocation | 4. No specific added services | 5. Matter could have been presented to legislature | 6. Exceeds biennium time limit | 7. Expenditures not itemized w/ year | 8. The service is not necessary | 9. There were reasonable alternatives | 10. No specific evaluation criteria |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 0959 | Corrections | Federal | $20,066 FY93 | 0.75 | | | | | | | | | | |

COMMENTS: This budget amendment will allow the department to use a Board of Crime Control grant to expand chemical dependency services to inmates at the Women's Correctional Center (WCC). The grant increases a 0.5 FTE chemical dependency counselor to full time and expands the program to include adult children of alcoholics and parenting components. The existing general fund appropriation for a 0.5 FTE chemical dependency counselor will provide the required match.

Since the grant award is expected in April, 1992, the committee may wish to make its approval contingent upon receipt of the grant award.

ADDED SERVICES: The chemical dependency counselor will schedule, screen, and assess WCC inmates and will conduct individual and group educational sessions and follow-up interviews.

EFFECTIVENESS EVALUATION: The effectiveness of this budget amendment will be measured by the ability to meet the following objectives: 1) conduct diagnostic evaluations for approximately 55 admissions; 2) place 50 new inmates in appropriate treatment and provide additional referrals for the current population of 62; 3) provide 8 referrals to the Lighthouse program, 6 referrals to Galen ASC, and additional referrals to other community programs; and 4) aid approximately 50 inmates in re-integration into the community.

BA2:mb:SLW3-24.03

# BUDGET AMENDMENT - FISCAL 1992

## AGENCY: MONTANA HISTORICAL SOCIETY

| BA# | PROGRAM | FUND SOURCE | AMOUNT | FTE | 1. Funds were available for legislative consideration | 2. There is a general fund commitment | 3. There was no emergency allocation | 4. No specific added services | 5. Matter could have been presented to legislature | 6. Exceeds biennium line limit | 7. Expenditures not limited by year | 8. The service is not necessary | 9. There were reasonable alternatives | 10. No specific evaluation criteria |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 0960 | Historic Sites | State Special | $3,600 FY92 | 0.00 | | | | | | | | | | |
| | | State Special | $56,400 FY93 | 1.17 | | | | | | | | | | |

COMMENTS: This budget amendment is a request for continuing authority for state special funds to conduct an in-depth archaeological study of 8,000 acres in Madison and Gallatin counties. The survey would be conducted using funds donated by the Turner Foundation for this purpose. Although these funds are in the state special revenue account they are private donations for a specific purpose, and are considered other non-state funds and not subject to the statutory emergency provisions.

ADDED SERVICES: This budget amendment will allow exploration, analysis, and reporting on prehistoric people.

EFFECTIVENESS EVALUATION: Evaluation will be based on: 1) exploration of 8,000 acres; 2) test excavations at a minimum of four primary sites; and 3) production of a written report and an illustrated program on the project findings.

BA2:mb:SLW3-24.04

# BUDGET AMENDMENT - FISCAL 1992

## AGENCY: DEPARTMENT OF MILITARY AFFAIRS

| All | PROGRAM | FUND SOURCE | AMOUNT | FTE | 1. Funds were available for legislative consideration | 2. There is a general fund constraint | 3. There was no emergency allocation | 4. No specific added services | 5. Matter could have been presented to legislature | 6. Exceeds biennium time limit | 7. Expenditures not limited b/ year | 8. The service is not necessary | 9. There were reasonable alternatives | 10. No specific evaluation criteria |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 0964 | Army National Guard | Federal | $150,000 FY92 | 0.00 | | | | | | | | | | |
| | | Federal | 150,000 FY93 | 0.00 | | | | | | | | | | |

COMMENTS: This budget amendment adds $300,000 federal authority to contract for security services for M-1 tanks and Cobra helicopters at Army National Guard facilities. The budget amendment requested spending authority in operating expense category, however the justification stated a grade 10 FTE may be hired to monitor contracts, and write inspection and incident reports. Regulations requiring these security services have been effective since 1986, but no funding has been authorized to implement the regulations. The department received notification of the grant award July 17, 1991.

ADDED SERVICES: Security services will be provided evening, weekends, and holidays for M-1 tanks and Cobra helicopters at National Guard facilities in Great Falls, Kalispell, Hamilton, Shelby, Dillon, Missoula, Belgrade, and Helena.

EFFECTIVENESS EVALUATION: The additional services will be evaluated based on: 1) the number of contracts executed for security services, and the number of incident and inspection reports prepared. A report will be submitted to the LFA October 30, 1992.

BA2:mb:LAS3-23.01

# BUDGET AMENDMENT - FISCAL 1992

## AGENCY: DEPARTMENT OF COMMERCE

| A# | PROGRAM | FUND SOURCE | AMOUNT | FTE | 1. Funds were available for legislative consideration | 2. There is a general fund commitment | 3. There was no emergency allocation | 4. No specific added services | 5. Matter could have been presented to legislature | 6. Exceeds biennium time limit | 7. Expenditures not itemized by year | 8. The service is not necessary | 9. There were reasonable alternatives | 10. No specific evaluation criteria |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 0965 | Com Dev Bureau | Federal | $289,569 FY92<br>$7,416,303 FY93 | 1.25<br>5.00 | | X | | | | | | | | |

COMMENTS: This budget amendment requests $7,705,872 federal spending authority to: 1) prepare the state's first Comprehensive Housing Affordability Strategy (CHAS) ($239,967); and 2) implement the federal Housing and Urban Development (HUD) HOME program ($7,465,905). It also requests an additional 6.25 FTE for the biennium: 1) 1.25 FTE for the fourth quarter of fiscal year 1992 (0.125 FTE for CHAS and 1.125 FTE for HOME); and 2) 5.0 FTE for fiscal year 1993 (0.5 FTE for CHAS and 4.50 FTE for HOME).

The 1990 National Affordable Housing Act requires preparation of an annual CHAS for states to remain eligible for federal housing funds. Without a federally approved CHAS, Montana would be ineligible to receive funds for the following programs: 1) HOME (which replaces a number of current federal low income housing programs); 2) Community Development Block Grant (CDBG); 3) homeless housing assistance; 3) Shelter Plus Care; 5) housing for the elderly and disabled ; and 6) three new programs created under the 1990 National Affordable Housing Act. No direct federal funds are available to complete the CHAS, so no matching funds are required. According to the department, federal Section 8 Administrative funds will be used to prepare the state CHAS each year.

The new HOME (Home Investments Partnerships Program) program replaces a number of current federal low income housing programs: 1) Section 8 Substantial and Moderate Rehabilitation; 2) Section 8 New Construction; 3) Section 8 Rental Rehabilitation; and 4) Urban Homesteading. The department submitted its notice to participate and administer this new program to HUD on February 12, 1992. State matching funds are not required for the HOME program. Instead, local government entities and private corporations receiving HOME funds must provide match ranging from 25 to 50 percent depending on the type of project. The department has not received the grant award so the committee may wish to make its acceptance contingent upon receipt of the funds.

ADDED SERVICES: The state will prepare an annual CHAS, which: 1) allows the state, local government entities, and private corporations to apply for federal housing funds; and 2) will allow the state to continue receiving current HUD funds. Through the HOME program, more adequate and affordable low income housing will be made available in the state.

EFFECTIVENESS EVALUATION: Evaluation will consist of: 1) bi-annual financial audits by the Legislative Auditor; 2) program compliance audits by the U.S. Department of Housing and Urban Development; and 3) the meeting of HUD compliance requirements under the state's federal grant agreement. In addition, the department will submit to the LFA by August 15, 1993: 1) its HUD approved CHAS; and 2) a report identifying housing units acquired, tenants assisted, units rehabilitated, and units constructed under the HOME program.

CONCERNS: According to section 17-7-402(b), MCA, no budget amendment may be approved, ".....which contains any significant ascertainable commitment for any present or future increased general fund support." In its justification for this budget amendment, the department states that general fund may be necessary to support HOME administration costs in the 1995 biennium.

Federal rules do not allow HOME funds to be used for program administration. The department will use Section 8 Administrative funds (federal) to support HOME administration costs during the 1993 biennium, but an alternative funding source must be identified for the 1995 biennium. The department has estimated HOME administrative costs to be approximately $550,000 per biennium. If general fund was used for HOME administrative costs in the 1995 biennium, the Community Development Bureau's (CDB) general fund appropriation would increase significantly. The CDB's 1993 biennium general fund appropriation is $502,376, which supports the entire Community Assistance program and portions of the pay plan and Community Development Block Grant administrative costs.

BA2:mb:THP3-25.01

# BUDGET AMENDMENT - FISCAL 1992

## AGENCY: DEPARTMENT OF MILITARY AFFAIRS

| A# | PROGRAM | FUND SOURCE | AMOUNT | FTE | 1. Funds were available for legislative consideration | 2. There is a general fund commitment | 3. There was no emergency allocation | 4. No specific added services | 5. Matter could have been presented to legislature | 6. Exceeds biennium time limit | 7. Expenditures not itemized by year | 8. The service is not necessary | 9. There were reasonable alternatives | 10. No specific evaluation criteria |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 0966 | Army National Guard | Federal | $ 3,062 FY92 | 0.12 | | | | | | | | | | |
| | | Federal | $8,278 FY93 | 0.28 | | | | | | | | | | |

COMMENTS: This budget amendment requests .4 FTE and a continuing appropriation for $11,340 federal authority to hire .4 FTE to develop and implement a statewide energy conservation program for the Army National Guard. Utility expenditures for fiscal 1992 are estimated to be $550,000 or 24 percent of the total program budget. The FTE will analyze energy utilization and recommend ways to conserve utilities. The department received grant notification February 13, 1992.

ADDED SERVICES: The position will survey energy consumption at Army National Guard buildings. A plan for energy conservation will be developed to help the program lower consumption and lower utility bills.

EFFECTIVENESS EVALUATION: The additional services will be evaluated based on : 1) establishment of a utility tracking system and 2) the number recommendations made to lower energy consumption. A report will be submitted to the LFA November 15, 1992.

BA2:mb:LAS3-23.02

# BUDGET AMENDMENT - FISCAL 1992

## AGENCY: DEPARTMENT OF JUSTICE

| All | PROGRAM | FUND SOURCE | AMOUNT | FTE | 1. Funds were available for legislative consideration | 2. There is a general fund commitment | 3. There was no emergency allocation | 4. No specific added services | 5. Matter could have been presented to legislature | 6. Exceeds biennium time limit | 7. Expenditures not itemized w/ year | 8. The service is not necessary | 9. There were reasonable alternatives | 10. No specific evaluation criteria |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 0967 | Law Enf. Academy | Federal | $13,318 FY92 | 0.00 | | | | | | | | | | |

COMMENTS: This budget amendment provides appropriation authority for the Department of Justice to obtain federal grant funds from the Highway Traffic Safety Division to fund "train the trainer" classes in the "First Responder" and "Emergency Vehicle Operations" courses at the Academy as well as to purchase training materials for those classes.

ADDED SERVICES: The grant funds provided by this amendment will allow the Law Enforcement Academy to train six Academy staff members in first responder techniques and fund supplies such as manikins and stethoscopes for the course. In addition, it will allow training of ten individuals as driving instructors and purchase five radar units for the course. The additional training and training materials would provide improved instruction of law enforcement officer candidates in the Academy's basic training course.

EFFECTIVENESS EVALUATION: Criteria by which the additional services can be evaluated will be provided in a report presented by August 15, 1992 showing the completion of training of ten instructors in emergency vehicle operations and six instructors in first responder techniques. Additional certification will be provided on successful utilization of new training aids in the basic training course.

BA2:lt:cls3-23.01

UDGET AMENDMENT - FISCAL 1993

CRIME CONTROL DIVISION

GENCY:

| # | PROGRAM | FUND SOURCE | AMOUNT | FTE | 1. Funds were available for legislative consideration | 2. There is a general fund commitment | 3. There was no emergency allocation | 4. No specific added services | 5. Matter could have been presented to legislature | 6. Exceeds biennium time limit | 7. Expenditures not itemized w/ year | 8. The service is not necessary | 9. There were reasonable alternatives | 10. No specific evaluation criteria |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 0968 | Justice Sys. Support Svcs. | Federal | $50,000 FY93 | 0.00 | | | | | | | | | | |

COMMENTS: This budget amendment provides appropriation authority for the Crime Control Division to obtain federal grant funds from the federal Bureau of Justice Statistics to expand the capability of the Divisions's statistical analysis center to conduct statistical policy analysis. The committee may wish to recommend that this budget amendment authorization be contingent upon receipt of federal funds, since the federal government has not yet awarded the funds.

ADDED SERVICES: The grant funds provided by this amendment will allow the Crime Control Division to expand the state's ability to develop and analyze statistical information related to the justice system, with emphasis on policy analysis and program evaluation. Projects that might be supported by the funds include evaluation of programs of domestic abuse and drug abuse, and studies of local jail use.

EFFECTIVENESS EVALUATION: Criteria by which the additional services can be evaluated will be provided in a report presented by August 15, 1993, assessing: 1) the number of policy analysis/evaluation studies completed and distributed; 2) the number of mini-studies completed and graduate students used; and 3) additional agencies trained and compatible to the new crime reporting system.

BA2:lt:CLS3-23.02

:UDGET AMENDMENT - FISCAL 1993

\GENCY: CRIME CONTROL DIVISION

| '\# | PROGRAM | FUND SOURCE | AMOUNT | FTE | 1. Funds were available for legislative consideration | 2. There is a general fund constituent | 3. There was no emergency allocation | 4. No specific added services | 5. Matter could have been presented to legislature | 6. Exceeds biennium time limit | 7. Expenditures not limited w/ year | 8. The service is not necessary | 9. There were reasonable alternatives | 10. No specific evaluation criteria |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 0969 | Justice Sys. Support Svcs. | Federal | $118,000 FY93 | 0.00 | | | | | | | | | | |

COMMENTS: This budget amendment provides appropriation authority for the Crime Control Division to obtain federal funds from the federal Office for Victims of Crime for use in crime victim compensation awards as direct payment for innocent victims of criminally injurious conduct. The committee may wish to recommend that this budget amendment authorization be contingent upon receipt of federal funds, since the federal government has not yet awarded the funds.

ADDED SERVICES: The funds provided by this amendment will allow the Crime Control Division to pay approximately 70 to 80 additional claims to innocent victims of crime, including payments for medical, funeral, mental health treatment, and wage loss.

EFFECTIVENESS EVALUATION: Criteria by which the additional services can be evaluated will be provided in a report presented by August 15, 1993, assessing: 1) the number of claims paid from the federal source; 2) the average payment per claim; and 3) the offense suffered by the claimant and the type of payment (medical, mental health, etc.).

BA2:lt:CLS3-23.03

UDGET AMENDMENT - FISCAL 1993

GENCY: CRIME CONTROL DIVISION

| | PROGRAM | FUND SOURCE | AMOUNT | FTE | 1. Funds were available for legislative consideration | 2. There is a general fund commitment | 3. There was an emergency allocation | 4. No specific added services | 5. Matter could have been presented to legislature | 6. Exceeds biennium time limit | 7. Expenditures not itemized by year | 8. The service is not necessary | 9. There were reasonable alternatives | 10. No specific evaluation criteria |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 0970 | Justice Sys. Support Svcs. | Federal | $30,000 FY93 | 0.00 | | | | | | | | | | |

COMMENTS: This budget amendment provides appropriation authority for the Crime Control Division to increase federal funds from the federal Office for Victims of Crime from $333,000 to $363,000 for fiscal 1993 for direct services to victims of crime. The committee may wish to recommend that this budget amendment authorization be contingent upon receipt of federal funds, since the federal government has not yet awarded the funds.

ADDED SERVICES: The funds provided by this amendment will allow the Crime Control Division to increase payments to victims of crime for direct immediate/emergency services, particularly for victims of spouse abuse, underserved victims, sexual assault, and child abuse.

EFFECTIVENESS EVALUATION: Criteria by which the additional services can be evaluated will be provided in a report presented by August 15, 1993, assessing: 1) the number of victims served; 2) the type of victimization and 3) the type of service provided.

BA2:lt:CLS3-23.04

# BUDGET AMENDMENT - FISCAL 1993

## AGENCY: CRIME CONTROL DIVISION

| BA# | PROGRAM | FUND SOURCE | AMOUNT | FTE | 1. Funds were available for legislative consideration | 2. There is a general fund commitment | 3. There was no emergency allocation | 4. No specific added services | 5. Matter could have been presented to legislature | 6. Exceeds biennium tier limit | 7. Expenditures not itemized by year | 8. The service is not necessary | 9. There were reasonable alternatives | 10. No specific evaluation criteria |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 0971 | Justice Sys. Support Svcs. | Federal | $70,000 FY93 | 0.00 | | | | | | | | | | |

COMMENTS: This budget amendment provides appropriation authority for the Crime Control Division to use federal funds from the federal Office for Victims of Crime for victims services in Indian Country. This will be the third year of funding for a declining grant program ($200,000 first year, $140,000 second year, $70,000 third year). The declining balance of federal support is to encourage the programs to attain self sufficiency. The committee may wish to recommend that this budget amendment authorization be contingent upon receipt of federal funds, since the federal government has not yet awarded the funds.

ADDED SERVICES: The funds provided by this amendment will allow the Crime Control Division to provide immediate and direct services to victims of crime in Indian Country, and will be used to support three tribal programs started with this three-year program: 1) Assiniboine-Sioux at Wolf Point; 2) Blackfeet at Browning; and 3) Crow at Lodge Grass. Services being provided by these funds include crisis counseling, group therapy, information/referral, emergency financial help, legal advocacy, and shelter.

EFFECTIVENESS EVALUATION: Criteria by which the additional services can be evaluated will be provided in a report presented by August 15, 1993, assessing: 1) the number of victims served; 2) the type of victimization; and 3) the type of service provided.

BA2:lt:CLS3-23.05

# BUDGET AMENDMENT - FISCAL 1992

## AGENCY: DEPARTMENT OF MILITARY AFFAIRS

| All | PROGRAM | FUND SOURCE | AMOUNT | FTE | 1. Funds were available for legislative consideration | 2. There is a general fund commitment | 3. There was no emergency allocation | 4. No specific added services | 5. Matter could have been presented to Legislature | 6. Exceeds biennium line limit | 7. Expenditures not itemized by year | 8. The service is not necessary | 9. There were reasonable alternatives | 10. No specific evaluation criteria |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 0973 | Army National Guard | Federal | $50,000 FY92 | 0.00 | | | | | | | | | | |

COMMENTS: This budget amendment adds $50,000 federal authority to renovate an administrative building to quarter female soldiers. The project is scheduled for completion prior to the summer training session.

ADDED SERVICES: The renovation project will provide housing for 23 female soldiers.

EFFECTIVENESS EVALUATION: The additional services will be evaluated based on completion of the renovation by June 30, 1992. A report will be submitted to the LFA by July 15, 1992.

BA2:mb:LAS3-23.03

# BUDGET AMENDMENT - FISCAL 1992

AGENCY: HIGHWAY TRAFFIC SAFETY DIVISION

| # | PROGRAM | FUND SOURCE | AMOUNT | FTE | 1. Funds were available for legislative consideration | 2. There is a general fund constituent | 3. There was no emergency allocation | 4. No specific added services | 5. Matter could have been presented to legislature | 6. Exceeds biennium time limit | 7. Expenditures not limited w/ year | 8. The service is not necessary | 9. There were reasonable alternatives | 10. No specific evaluation criteria |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 0974 | Hwy Traffic Safety | Federal | $264,000 FY92<br>$536,000 FY93 | 0.00 | | | | | | | | | | |

COMMENTS: This budget amendment provides appropriation authority for the Highway Traffic Safety Division to obtain additional federal pass-through grant funds from the U.S. Department of Transportation , National Highway Traffic Safety Administration.

ADDED SERVICES: The grant funds provided by this amendment will allow the Highway Traffic Safety Division to increase its total federal pass-through grant authority to $1,840,000 for the biennium, as compared to $1,040,000 authorized by the 1991 legislature for the 1993 biennium. The grant funds will be passed through to state and local agencies for highway safety related projects. The additional funds are available as a result of enactment of the new federal transportation act, increasing Montana's allocation of the grant funds. This budget amendment assumes an increased 1993 grant which has not been awarded so the committee may wish to make its acceptance contingent on receipt of the funds.

EFFECTIVENESS EVALUATION: Criteria by which the additional services can be evaluated will be provided in a report presented by August 15, 1993 showing the additional grants authorized and the purpose for which the grants were used. Emphasis areas will be alcohol countermeasures, occupant protection, police traffic services, emergency medical services, traffic records, and pedestrian safety.

BA2:lt:CLS3-23.06

UDGET AMENDMENT - FISCAL 1993

GENCY: NATURAL RESOURCES AND CONSERVATION

| AN | PROGRAM | FUND SOURCE | AMOUNT | | FTE | 1. Funds were available for legislative consideration | 2. There is a general fund commitment | 3. There was no emergency allocation | 4. No specific added services | 5. Matter could have been presented to legislature | 6. Exceeds biennium time limit | 7. Expenditures not limited by year | 8. The service is not necessary | 9. There were reasonable alternatives | 10. No specific evaluation criteria |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 0978 | Energy | Federal | $250,000 | FY93 | 0.00 | | | | | | | | | | |

COMMENTS: This budget amendment adds federal spending authority in the Energy Division for the Institutional Conservation Program (ICP). This program provides federal Department of Energy (DOE) grants to schools and hospitals for retrofitting to increase energy efficiency and reduce energy costs. All funding recipients must provide match in the same amount as their grant award. Until recently, DOE awarded the grants itself, with DNRC providing grant proposal review and funding recommendation support. Due to a recent change in DOE policies, states have been given a larger role in ICP: they now receive the entire amount to be distributed to schools and hospitals and make the grant awards themselves. The actual grant award has not yet been received, so the committee may wish to make its approval contingent upon receipt of the funds.

ADDED SERVICES: No additional services to communities are provided through this budget amendment. However, DNRC will be performing additional services associated with disbursal of ICP grant funds (which was previously done by DOE). If DNRC is not given the authority to disburse ICP funds, Montana schools and hospitals will be unable to continue participating in the federal program.

EFFECTIVENESS EVALUATION: The evaluation will be the actual award of energy retrofit grants to schools and hospitals. A copy of the report DNRC prepares for DOE regarding the ICP program will be submitted to the LFA by June 30, 1993.

BA2:mb:THP3-25.02

# BUDGET AMENDMENT - FISCAL 1992

AGENCY: NATURAL RESOURCES AND CONSERVATION

| All | PROGRAM | FUND SOURCE | AMOUNT | FTE | 1. Funds were available for legislative consideration | 2. There is a general fund commitment | 3. There was no emergency allocation | 4. No specific added services | 5. Matter could have been presented to legislature | 6. Exceeds biennium time limit | 7. Expenditures not limited by year | 8. The service is not necessary | 9. There were reasonable alternatives | 10. No specific evaluation criteria |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 0979 | Central Svcs | Federal | $2,048 FY92 | 0.00 | | | | | | | | | | |

COMMENTS: The department is requesting additional federal spending authority to pay higher than anticipated telephone costs incurred by Army Corps of Engineer (Corps) staff co-located in the DNRC building. The Corps reimburses the department for costs associated with their staff. The $4,391 of Corps funding appropriated for fiscal year 1992 is now insufficient to cover increased telephone costs due to a new computer system that allows staff to access the Corps' Omaha database via long distance lines. This budget amendment seeks to increase the appropriation of federal Corps of Engineer funds by $2,048 in fiscal 1992 to cover the increased phone charges.

ADDED SERVICES: The additional services are already being provided to Corps staff who use state long distance telephone lines to access their database. Without the increase requested in this budget amendment, DNRC will be unable to pay the Telecommunications Bureau for the cost of these long distance services.

EFFECTIVENESS EVALUATION: Effectiveness will be evaluated by DNRC's payment of Corps telephone charges, using Corps-provided funding.

BA2:mb:THP3-25.03

# BUDGET AMENDMENT - FISCAL 1992

## AGENCY: NATURAL RESOURCES AND CONSERVATION

| A# | PROGRAM | FUND SOURCE | AMOUNT | FTE | 1. Funds were available for legislative consideration | 2. There is a general fund constituent | 3. There was no emergency allocation | 4. No specific added services | 5. Matter could have been presented to Legislature | 6. Exceeds biennium line limit | 7. Expenditures not itemized by year | 8. The service is not necessary | 9. There were reasonable alternatives | 10. No specific evaluation criteria |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 0980 | Cons/Res Development | Federal | $750 FY92<br>$13,236 FY93 | 0.00 | | | | | | | | | | |

COMMENTS: This budget amendment adds an additional $13,986 of federal Environmental Protection Agency (EPA) funds for the Montana Wetlands Project, which provides information and education to promote riparian and wetlands management. No match is required for these funds. Original EPA funding for the project was provided through a budget amendment for $59,375, approved at the September 1991 Legislative Finance Committee meeting.

The additional funds requested in this budget amendment are part of an EPA Nonpoint Source Implementation grant to the Water Quality Bureau (WQB) of the Department of Health and Environmental Sciences (DHES). DHES will provide funds requested in this budget amendment to DNRC to include education activities regarding the reduction of nonpoint source pollution to the Montana Wetlands project. Since DHES has not yet granted these funds to DNRC, the committee may wish to make its approval contingent upon receipt of the grant award.

ADDED SERVICES: These funds will be used to produce additional copies of three written documents being developed on riparian management for the Montana Wetlands Project (a handbook, a factsheet, and a brochure). These additional copies will be made available to Montana landowners and educators, and will also be distributed at riparian workshops scheduled for later in the year.

EFFECTIVENESS EVALUATION: Evaluation will consist of the completed documents, and a report on how many additional copies were developed with the additional funding. This report will be submitted to the LFA by September 30, 1992.

BA2:mb:THP3-25.04

# BUDGET AMENDMENT - FISCAL 1992

## AGENCY: LABOR AND INDUSTRY

| BA# | PROGRAM | FUND SOURCE | AMOUNT | FTE | 1. Funds were available for legislative consideration | 2. There is a general fund commitment | 3. There was no emergency allocation | 4. No specific added services | 5. Matter could have been presented to legislature | 6. Exceeds biennium time limit | 7. Expenditures not limited w/ year | 8. The service is not necessary | 9. There were reasonable alternatives | 10. No specific evaluation criteria |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 0981 | Emp. Relations | State Special | $27,775 FY92 | 0.96 | | | | | | | | | | |
| | | | $81,099 FY93 | 3.00 | | | | | | | | | | |

COMMENTS: The department requests appropriation authority to spend $108,874 to fund the cost of a Rehabilitation Panel. This panel would consist of two grade 13 program specialists and one grade 7 administrative aide. All three positions would be temporary until the caseload requiring review by the rehabilitation panel decreases. In March, 1991, the Legislature reduced the number of rehabilitation panels from two to one. In April, 1991 the Supreme Court decided the "Higginbotham Case". The result of the Higginbotham decision is that insurers must continue paying benefits until one of three things occur: 1) an order is issued by the Division; 2) the claimant returns to work; or 3) an individual written rehabilitation plan is completed. Thus, it became mandatory for insurers to pursue the rehabilitation panel process for claimants injured between July 1, 1987 and June 31, 1991. According to the department, the workload has increased dramatically with only half the staff of the previous year. The department stated that this budget amendment would be funded with state special revenue derived from the workers compensation administrative assessment. This Budget amendment is requesting authority from state special revenue fund. The department states this is an emergency based on a potential additional cost of $1.5 million in benefit payments by the State Fund.

ADDED SERVICES: This budget amendment would establish a rehabilitation panel to review cases. According to the department, adding this panel would save workers compensation claimants extended delays in the determination of appropriate rehabilitation. Additionally, establishing the panel could save the State Compensation Mutual Insurance Fund as much as $1,457,625 in benefit payments.

EFFECTIVENESS EVALUATION: A written evaluation will be presented to the Legislative Fiscal Analyst by August 15, 1992. This evaluation will be based upon the following criteria:

1) The number of claims received for the Rehabilitation Panel review; and
2) The number of individual written rehabilitation plan reports completed.

CONCERNS: This budget amendment is requesting funding for a rehabilitation panel that was eliminated by the legislature in the 1991 session.

BA2:mb:LDS3-23.01

# BUDGET AMENDMENT - FISCAL 1992

## AGENCY: DEPARTMENT OF FAMILY SERVICES

| BA# | PROGRAM | FUND SOURCE | AMOUNT | FTE | 1. Funds were available for legislative consideration | 2. There is a general fund commitment | 3. There was no emergency allocation | 4. No specific added services | 5. Matter could have been presented to legislature | 6. Exceeds biennium time limit | 7. Expenditures not itemized w/ year | 8. The service is not necessary | 9. There were reasonable alternatives | 10. No specific evaluation criteria |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 0982 | Community Services | Federal | $180,526 FY92 | 0.00 | | | | | | | | | | |

COMMENTS: This amendment requests authority to spend federal Bureau of Indian Affairs (BIA) funds of $180,526 for services to Indian youth. The current agreement with the BIA expires on March 31, 1992. Therefore, the committee may wish to make approval of this budget amendment contingent upon an extension of the agreement.

ADDED SERVICES: These funds will provide out-of-state treatment services to Indian youth for whom services could otherwise be delayed or unavailable.

EFFECTIVENESS EVALUATION: The effectiveness will be evaluated based on the provision of services to approximately 25 Indian youth.

BA2:mb:SLW3-24.05

# BUDGET AMENDMENT - FISCAL 1992

## AGENCY: SOCIAL AND REHABILITATION SERVICES

| BA# | PROGRAM | FUND SOURCE | AMOUNT | FTE | 1. Funds were available for legislative consideration | 2. There is a general fund commitment | 3. There was an emergency | 4. No specific allocation | 5. Matter could have been presented to legislature | 6. Exceeds biennium time limit | 7. Expenditures not limited w/ year | 8. The service is not necessary | 9. There were reasonable alternatives | 10. No specific evaluation criteria |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 0983 | Family | Federal | $16,405 FY92 | 0.00 | | | | | | | | | | |

COMMENTS: FY91 carryover Food Stamp Incentive funds of $11,959 will be matched with $4,446 in other federal funds to help defray the costs associated with the Health Care for Montanans activities, plus meet publication and printing costs.

ADDED SERVICES: This amendment will cover unanticipated costs of the Health Care for Montana activities.

EFFECTIVENESS EVALUATION: The evaluation will be based on the maintenance of the annual food stamp error rate at less than 6 percent.

BA2:mb:CVS3-25.01

# BUDGET AMENDMENT - FISCAL 1992

## AGENCY: MONTANA STATE LIBRARY

| BA# | PROGRAM | FUND SOURCE | AMOUNT | FTE | 1. Funds were available for legislative consideration | 2. There is a general fund commitment | 3. There was no emergency allocation | 4. No specific added services | 5. Matter could have been presented to Legislature | 6. Exceeds biennium time limit | 7. Expenditures not iterated w/ year | 8. The service is not necessary | 9. There were reasonable alternatives | 10. No specific evaluation criteria |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 0984 | Operations | State Special | $27,500 FY92 | 0.00 | | | | | | | | | | |
| | | State Special | $10,879 FY93 | 0.00 | | | | | | | | | | |

COMMENTS: This budget amendment will allow the State Library the authority to spend bequests and donations from a state special account. These private funds are given specifically for the Library for the Blind and Physically Handicapped (LBPH) and although they are in a state special revenue account they are considered non-state other funds and therefore are not subject to statutory emergency provisions.

ADDED SERVICES: The proposed expenditures include: 1) a new sound-proof booth for recording books; 2) a computer backup system; 3) an un-interruptible power source for each computer; 4) a Montana cassette book catalog; and 5) a new CD ROM player to access the national catalog listings.

EFFECTIVENESS EVALUATION: Criteria for evaluation include: 1) a more efficient and effective LBPH; 2) reduced down time on the computer system; 3) an increase in the number of users; and 4) less time between requests and responses.

BA2:mb:SLW3-24.06

# BUDGET AMENDMENT - FISCAL 1992

## AGENCY: DEPARTMENT OF STATE LANDS

| BA# | PROGRAM | FUND SOURCE | AMOUNT | FTE | 1. Funds were available for legislative consideration | 2. There is a general fund constituent | 3. There was no emergency allocation | 4. No specific added services | 5. Matter could have been presented to legislature | 6. Exceeds biennium time limit | 7. Expenditures not itemized by year | 8. The service is not necessary | 9. There were reasonable alternatives | 10. No specific evaluation criteria |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 0985 | Central Mgn. | Proprietary | $37,500 FY92 | 0.16 | X | | | | | | | | | |
| | | | $211,000 FY93 | 0.00 | | | | | | | | | | |

COMMENTS: This budget amendment would provide biennial authority from the air operations proprietary account to fund expenditures relating to department aircraft. An unanticipated increase in the use of aircraft for fire suppression since the 1991 legislative session has expedited the required maintenance schedules of the aircraft. During the fall of 1991, department aircraft flew approximately 170 hours more than anticipated. Authority would also be used to lease hangar space at the Helena Airport. Aviation fuel costs have increased from the $1.71 per gallon budgeted by the 1991 legislature to $2.20 per gallon. The additional FTE in fiscal 1992 only would provide for part-time mechanics to perform existing services previously provided by contract. The department charges users of the aircraft an hourly rate of $70 for fixed wing, $285 for Jet Rangers, and $700 for the Huey. A portion of the revenue deposited to the proprietary fund comes from general fund. The department's costs for fire suppression are paid from their general fund appropriations and later reimbursed through a general fund supplemental. These costs include the hourly rate charged by the air operations bureau for use of aircraft in fire suppression efforts by the department.

ADDED SERVICES: Services and expenditures that would be provided are:

1. Aircraft engine overhauls - $196,500
2. Lease of new maintenance facility - $27,000
3. Corrosion prevention - $5,000
4. Fuel and parts supplies - $34,000
5. Intercom repair - $3,000
6. Engine and airframe repair from annual inspections - $10,000

Increased authority consists of $3,680 personal services, $183,820 contracted services, $34,000 supplies and materials, and $27,000 rent.

EFFECTIVENESS EVALUATION: A written evaluation of services provided will be provided to the Legislative Fiscal Analyst by August 15, 1993 based on:

1. The replacement parts meeting FAA airworthiness standards.
2. The aircraft being operational to support department missions, especially fire.

CONCERNS: This budget amendment does not meet the statutory criteria in the following areas:

1. This budget amendment would authorize expenditure of fund balance in the air operations internal service account. A portion of these funds were available for consideration by the 1991 legislature. The account had a fiscal 1991 ending fund balance of $204,731. It is estimated by the department that $175,000 additional unanticipated revenue will be generated in the 1993 biennium by the unanticipated increase in aircraft usage.
2. Item 2 under ADDED SERVICES ($27,000) could have been considered by the 1991 legislature if proposed by the department during the appropriations process of the 1991 legislature. Although the department's request prior to the 1991 legislative session for $405,500 of general fund authority for additional hangar space was denied by the Department of Administration's Architecture and Engineering as part of the priority ranking process of the Long Range Building Program, the department did not ask the legislature to consider additional funding for the additional hangar space.

BA2:mb:REL3-24.01

# BUDGET AMENDMENT - FISCAL 1992

## AGENCY: DEPARTMENT OF STATE LANDS

| All | PROGRAM | FUND SOURCE | AMOUNT | FTE | 1. Funds were available for legislative consideration | 2. There is a general fund commitment | 3. There was no emergency | 4. No specific allocation | 5. Matter could have been presented to legislature | 6. Exceeds biennium time limit | 7. Expenditures not limited to 1 year | 8. The service is not necessary | 9. There were reasonable alternatives | 10. No specific evaluation criteria |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 0986 | Forestry | Federal | $12,450 FY92<br>$12,450 FY93 | 0.00<br>0.00 | | | | | | | | | | |

COMMENTS: This budget amendment would provide biennial federal spending authority for the administrative costs associated with the stewardship incentive program. The department has received the letter of financial advice for $24,900 from the U.S. Forest Service for administration of the program. The money would be used to establish and inspect stewardship incentive program practices, help landowners implement activities outlined in their forest stewardship plan, administer the program, and pay for time and training of natural resource professionals.

ADDED SERVICES: Contract with natural resource professionals to establish cost-share conservation practices on non-industrial private forest land.

EFFECTIVENESS EVALUATION: An evaluation of the effectiveness of this budget amendment will be provided the Legislative Fiscal Analyst by August 15, 1993 based on:

1. number of stewardship incentive program requests serviced,
2. number of acres set up in the plans, and
3. the general attitude recipients have toward the program.

BA2:mb:REL3-24.02

# BUDGET AMENDMENT - FISCAL 1992

AGENCY: HEALTH AND ENVIRONMENTAL SCIENCES

| All | PROGRAM | FUND SOURCE | AMOUNT | FTE | 1. Funds were available for legislative consideration | 2. There is a general fund commitment | 3. There was no emergency allocation | 4. No specific added services | 5. Matter could have been presented to Legislature | 6. Exceeds biennium time limit | 7. Expenditures not itemized by year | 8. The service is not necessary | 9. There were reasonable alternatives | 10. No specific evaluation criteria |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 0987 | Prev. Health | Federal | $27,807 FY92 | 0.24 | | | | | | | | | | |
| | | | $111,176 FY93 | 1.50 | | | | | | | | | | |

COMMENTS: This budget amendment requests appropriation authority to spend $138,983 of federal Tuberculosis (TB) funding. The new funds are available from the Center for Disease Control and will be used to establish a Tuberculosis control program. The funds will become available April 1, 1992. The committee may wish to approve this budget amendment contingent on receipt of the funds.

ADDED SERVICES: This budget amendment would fund the following services: 1) increased TB surveillance and case finding by establishing a comprehensive surveillance system to enhance TB reporting; 2) directly observed therapy to ensure adequate and appropriate TB treatment is completed which renders a person non-infectious for life; 3) public and health-care provider education/training to increase awareness of and knowledge about TB; and 4) develop a plan for eradication of tuberculosis from Montana.

EFFECTIVENESS EVALUATION: A report will be submitted to the Legislative Fiscal Analyst by August 15, 1993. The evaluation criteria will be the effectiveness of the therapy performed on an average of 28 TB patients during the year, the status and effectiveness of a contract with local jurisdictions to monitor and promote therapy, and the development of a TB eradication plan by March 31, 1993.

BA2:mb:LDS3-24.02

# BUDGET AMENDMENT - FISCAL 1992

## AGENCY: HEALTH AND ENVIRONMENTAL SCIENCES

| IA# | PROGRAM | FUND SOURCE | AMOUNT | FTE | 1. Funds were available for legislative consideration | 2. There is a general fund commitment | 3. There was no energy/ allocation | 4. No specific added services | 5. Matter could have been presented to legislature | 6. Exceeds biennium time limit | 7. Expenditures not itemized by year | 8. The service is not necessary | 9. There were reasonable alternatives | 10. No specific evaluation criteria |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 0988 | Prev. Health | Federal | $18,831 FY92 | 0.30 | | | | | | | | | | |
| | | | $141,267 FY93 | 0.75 | | | | | | | | | | |

COMMENTS: The department requests appropriation authority to spend $160,098 of federal immunization funds. The funds are designated specifically for "phased implementation" of universal hepatitis B vaccination of newborn Montana children. Implementation of this project will provide an administrative clerk to record and retrieve laboratory data on hepatitis B testing, a health educator to educate and motivate parents and health care providers about hepatitis B, funds to contract with local health department nurses to administer the vaccine, monitor the vaccination status of children and prepare related reports. This grant will also fund the purchase of two computer terminals and the development and distribution of computer software to automate the recording of hepatitis B vaccination information. This grant has not been received, therefore, the committee may wish to make its approval contingent upon receipt of the grant award.

ADDED SERVICES: Approval of this budget amendment will allow funding for: 1) immunizing 20 percent of newborn children seen at public clinics with hepatitis B vaccine; 2) providing education and motivation for parents and health care providers in the full use of hepatitis B vaccine; 3) identifying and immunizing children at high-risk to hepatitis B; and 4) automating the recording of vaccination information.

EFFECTIVENESS EVALUATION: A report will be provided to the Legislative Fiscal Analyst by August 15, 1993. This evaluation will be based on the following criteria:

1) By December 31, 1992, the seven large county health departments will have hired staff to implement hepatitis B vaccination in the target groups;

2) By December 31, 1992, the seven large county health departments will have developed a system to ensure 50 percent or more of the target group of children born in their community receive the first dose of hepatitis B vaccine before three months of age; and

3) By December 31, 1992, the program will have developed a hepatitis B brochure which includes information regarding universal immunization of all newborns.

BA2:mb:LDS3-24.03

# BUDGET AMENDMENT - FISCAL 1992

## AGENCY: HEALTH AND ENVIRONMENTAL SCIENCES

| BA# | PROGRAM | FUND SOURCE | AMOUNT | FTE | 1. Funds were available for legislative consideration | 2. There is a general fund commitment | 3. There was no emergency/ allocation | 4. No specific added services | 5. Matter could have been presented to Legislature | 6. Exceeds biennium rate limit | 7. Expenditures not itemized by year | 8. The service is not necessary | 9. There were reasonable alternatives | 10. No specific evaluation criteria |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 0989 | Prev. Health | Federal | $7,658 FY92 | 0.24 | | | | | | | | | | |
| | | | $13,312 FY93 | 0.50 | | | | | | | | | | |

COMMENTS: The department requests appropriation authority to spend $20,970 of federal Immunization funds. The funds are specifically designated for a new hepatitis B administrative clerk position and to support distribution of federally mandated parent/patient notification materials regarding risks and benefits of vaccination. This grant award has not been received, therefore, the committee may wish to approve this budget amendment contingent upon receipt of grant award.

ADDED SERVICES: Services funded with this budget amendment will include:

1) Making hepatitis B vaccine available to public clinics, hospitals, and private physicians upon request in order to meet the new recommendations related to universal vaccination of all children and those persons at risk due to exposure to persons with hepatitis B;
2) Hepatitis B case and contact data bases will be maintained; and
3) New federally mandated parent/patient notification forms related to risks and benefits will be distributed to all health care providers who give immunizations in Montana.

EFFECTIVENESS EVALUATION: A report will be provided to the Legislative Fiscal Analyst by August 15, 1993. This report will contain an evaluation based upon the following criteria:

1) Through December 31, 1992, 100 percent of the orders from programs providing hepatitis B vaccine, will be filled;
2) Through December 31, 1992, 100 percent of hepatitis B cases, contacts and persons identified to the program as at risk to hepatitis B, will be recorded by the administrative clerk and monitored by program staff to ensure all receive vaccination, treatment or counseling; and
3) By December 31, 1992, 100 percent of the health care providers in Montana who give immunizations will be sent updated material and supplies for parent/patient notification as federally mandated.

BA2:mb:LDS3-24.04

# BUDGET AMENDMENT - FISCAL 1992

## AGENCY: HEALTH AND ENVIRONMENTAL SCIENCES

| A# | PROGRAM | FUND SOURCE | AMOUNT | FTE | 1. Funds were available for legislative consideration | 2. There is a general fund commitment | 3. There was no emergency allocation | 4. No specific added services | 5. Matter could have been presented to legislature | 6. Exceeds biennium time limit | 7. Expenditures not itemized by year | 8. The service is not necessary | 9. There were reasonable alternatives | 10. No specific evaluation criteria |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 0990 | Cent. Serv. | Federal | $6,000 FY92 | 0.00 | | | | | | | | | | |
| | | | $6,000 FY93 | 0.00 | | | | | | | | | | |

COMMENTS: The department requests appropriation authority to spend $12,000 of federal Social Security funds. The Department of Health and Human Services (DHHS), Social Security Administration (SSA), has continued to negotiate a contract with the department for birth certificate data necessary to SSA to conduct its Enumeration at Birth program. This contract has been exercised each year since 1989, but the department was not notified of the contract extension until January 1992.

ADDED SERVICES: Approval of the budget amendment will allow the department to provide information to the SSA for its Enumeration at Birth program, which provides newborns with a social security number. The funds will also be used to enhance the statewide system of vital records through the updating of processing procedures and equipment, the revision of mailing schedules and the streamlining of receipt procedures.

EFFECTIVENESS EVALUATION: A report will be provided to the Legislative Fiscal Analyst by August 15, 1993. This report will include an evaluation based on the following criteria:

1. The department will process 11,000 birth records for SSA by April 15, 1993 for the period January 1, 1992 to December 31, 1992;
2. By June 30, 1993, the department will have implemented data processing procedures designed to process incoming vital records more rapidly than current procedures permit.
3. By June 30, 1993, the department will have reviewed computerized birth certificate forms currently in use, enhanced the design to possibly include wallet-sized cards, and will have procured a two-year inventory of new forms.

BA2:mb:LDS3-24.05

# BUDGET AMENDMENT - FISCAL 1992

AGENCY: HEALTH AND ENVIRONMENTAL SCIENCES

| BA# | PROGRAM | FUND SOURCE | AMOUNT | FTE | 1. Funds were available for legislative consideration | 2. There is a general fund constituent | 3. There was no emergency allocation | 4. No specific added services | 5. Matter could have been presented to legislature | 6. Exceeds biennium time limit | 7. Expenditures not itemized w/ year | 8. The service is not necessary | 9. There were reasonable alternatives | 10. No specific evaluation criteria |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 0991 | Preventive Health | Federal | $50,000 FY92 | 0.00 | | | | | | | | | | |
| | | | $50,000 FY92 | 0.00 | | | | | | | | | | |

COMMENTS: This budget amendment requests appropriation authority to spend $100,000 of federal funds from the U.S. Department of Health and Human Services. The money is available through the Ryan White AIDS Act of 1990. The goal of the program is to improve the quality, availability and organization of health care and support services for individuals and families with Human Immunodeficiency Virus (HIV) infection. To date this grant has not been received, therefore, the committee may wish to make its approval contingent upon receipt of the grant award.

ADDED SERVICES: The funds requested in this budget amendment will allow the department to provide outpatient medical care and support services through consortia, drug treatment reimbursement, and insurance premium payment programs.

EFFECTIVENESS EVALUATION: A written evaluation will be provided to the Legislative Fiscal Analyst by August 15, 1992. This evaluation will be based on the following criteria:

1) An estimated 45 patients will be served in the drug reimbursement program;
2) An estimated 30-50 clients will be served in the outpatient health services and support services programs;
3) 75 percent of the monies received will be obligated by August 1, 1992; and
4) Renewal of contracts with SRS, four pharmacies and several consortia will take place by August 1, 1992.

BA2:mb:LDS3-24.06

# BUDGET AMENDMENT - FISCAL 1992

AGENCY: HEALTH AND ENVIRONMENTAL SCIENCES

| BA# | PROGRAM | FUND SOURCE | AMOUNT | FTE | 1. Funds were available for legislative consideration | 2. There is a general fund constituent | 3. There was no emergency allocation | 4. No specific added services | 5. Matter could have been presented to Legislature | 6. Exceeds biennium time limit | 7. Expenditures not limited w/ year | 8. The service is not necessary | 9. There were reasonable alternatives | 10. No specific evaluation criteria |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 0992 | Health Services | State Special | $29,009 FY92<br>$118,104 FY93 | 0.48<br>2.00 | | | | | | | | | | |

COMMENTS: The department requests appropriation authority to spend $147,113 in private Robert Wood Johnson Foundation funds. The funds are available for the period March 1, 1992 through August 31, 1993. The grant will allow the department to participate in the Foundation's Information for State Health Policy Program. The Program's function is to complete a comprehensive review of Montana's information needs for health policy development and program management. Although funds in this budget amendment are in a state special revenue account, they are not subject to the emergency criteria because they are private funds with restricted use and considered to be other non-state funds.

ADDED SERVICES: Additional services to be provided as a result of this budget amendment include:

1) Complete a comprehensive review of Montana's information needs for health policy development and program management.
2) Complete a prioritization of Montana's health related information needs for health policy development and program management.
3) Complete a comprehensive plan for meeting identified health policy, health data, and management information system needs.
4) Complete a specific, detailed Phase II proposal for establishing a comprehensive health statistics management information system that targets Montana's priority health care policy needs.

EFFECTIVENESS EVALUATION: A report will be sent to the Legislative Fiscal Analyst by October 15, 1993. The evaluation will be based on meeting specific criteria, such as completion of reviews, assessments, and plans at specific intervals during the throughout the project duration.

BA2:mb:LDS3-24.07

# BUDGET AMENDMENT - FISCAL 1992

## AGENCY: HEALTH AND ENVIRONMENTAL SCIENCES

| BA# | PROGRAM | FUND SOURCE | AMOUNT | FTE | 1. Funds were available for legislative consideration | 2. There is a general fund constituent | 3. There was an emergency | 4. No specific added services | 5. Matter could have been presented to legislature | 6. Exceeds biennium time limit | 7. Expenditures not itemized by year | 8. The service is not necessary | 9. There were reasonable alternatives | 10. No specific evaluation criteria |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 0993 | Cent. Serv. | Proprietary | $26,525 FY92<br>$108,205 FY93 | 0.72<br>3.00 | | | | | | | | | | |

COMMENTS: The department requests $134,730 of appropriation authority in the proprietary fund. This authority will allow the department to move 3.00 FTE to the indirect cost pool. Currently, the three FTE are direct program expenses of two federal grants. This precludes them from working on general departmental financial services. Moving the FTE into the indirect cost pool will allow the department to utilize their skills throughout the entire department. Reassigning current duties will help the department to meet increased reporting requirements and maximize the use of indirect cost funds.

ADDED SERVICES: Approval of this budget amendment will allow the department to provide the support needed to track, report, and maintain the large number of grants and state special revenue sources that have been added to the department over the last several years. The department will transfer the 3.00 FTE to the Centralized Services Division from the Solid and Hazardous Waste Bureau. The positions will be assigned to provide financial assistance and reporting for all grants in the Solid and Hazardous Waste Bureau, the Family/Maternal and Child Health Bureau and the Licensing and Certification Bureau.

EFFECTIVENESS EVALUATION: A report will be provided to the Legislative Fiscal Analyst by August 15, 1993. The positions will:

1) Complete 624 cash draws for federal grants;
2) Prepare 60 federal reports;
3) Prepare 675 SBAS documents; and
4) Prepare biennial budgets for 12 subprogram grants.

BA2:mb:LDS3-24.08

# BUDGET AMENDMENT - FISCAL 1992

AGENCY: HEALTH AND ENVIRONMENTAL SCIENCES

| BA# | PROGRAM | FUND SOURCE | AMOUNT | FTE | 1. Funds were available for legislative consideration | 2. There is a general fund commitment | 3. There was no emergency allocation | 4. No specific added services | 5. Matter could have been presented to legislature | 6. Exceeds biennium time limit | 7. Expenditures not itemized by year | 8. The service is not necessary | 9. There were reasonable alternatives | 10. No specific evaluation criteria |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 0994 | Solid/HW | Federal | $100,000 FY92 | 0.00 | | | | | | | | | | |
| | | | $145,000 FY93 | 0.00 | | | | | | | | | | |

COMMENTS: This budget amendment will allow the department to spend $245,000 in cost recovered funds, on investigative and corrective actions at approximately ten leaking underground storage tank sites. The funds will be used to provide contracted services to investigate, and where necessary, repair leaking underground storage tank sites. This money is federal program income and it must be used in the Underground Storage Tank Program or be returned to the federal government.

ADDED SERVICES: Approval of this budget amendment will allow the Leaking Underground Storage Tank (LUST) program to continue to address high priority LUST sites as they are reported to the department. According to the department, approximately 20 to 30 new leak sites are being reported monthly.

EFFECTIVENESS EVALUATION: A final report will be provided to the Legislative Fiscal Analyst by August 15, 1993. The evaluation will be based on the review of monthly progress reports, results of response work, and evaluation of associated costs for up to ten additional LUST sites.

BA2:mb:LDS3-24.9

# BUDGET AMENDMENT - FISCAL 1992

## AGENCY: HEALTH AND ENVIRONMENTAL SCIENCES

| BA# | PROGRAM | FUND SOURCE | AMOUNT | FTE | 1. Funds were available for legislative consideration | 2. There is a general fund commitment | 3. There was no emergency allocation | 4. No specific added services | 5. Matter could have been presented to legislature | 6. Exceeds biennium time limit | 7. Expenditures not itemized by year | 8. The service is not necessary | 9. There were reasonable alternatives | 10. No specific evaluation criteria |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 0995 | Family/MCH | Federal | $325,000 FY92 | 0.00 | | | | | | | | | | |
| | | | $502,202 FY93 | 0.00 | | | | | | | | | | |

COMMENTS: The department requests appropriation authority to spend $827,202 of federal funds. The department receives a grant from the U.S. Department of Agriculture to administer the Special Supplemental Food Program for Women, Infants and Children (WIC). The program provides direct services to pregnant and breastfeeding women and eligible infants and children. The 1991 legislature appropriated $8,935,066 for the biennium to the WIC program, the total grant amount is $9,762,268, therefore, the department is seeking an amendment for the additional $827,202.

ADDED SERVICES: The department estimates the current caseload will increase by 1,904, from 16,096 to 18,000. Nutrition education and counseling services will be provided to the additional cases. This amendment will also allow for increased data processing capability at the local level. A contract will be awarded through the Beyond 1990 project to develop or modify existing software. This software will allow for data collection and automated food voucher preparation at the local level.

EFFECTIVENESS EVALUATION: A written evaluation will be sent to the Legislative Fiscal Analyst by August 15, 1992. This evaluation will be based on the following criteria:

1. Caseload will increase from 16,096 to 18,000 by September 30, 1992
2. Prepare and distribute request for qualifications for contractors to perform work under Beyond 1990 by April 30, 1992.
3. Prepare and distribute request for proposal to qualified contractors for Beyond 1990 by June 1, 1992.
4. Evaluate and engage a Beyond 1990 contractor by September 1, 1992.

BA2:mb:LDS3-24.10

# BUDGET AMENDMENT - FISCAL 1992

## AGENCY: HEALTH AND ENVIRONMENTAL SCIENCES

| A# | PROGRAM | FUND SOURCE | AMOUNT | FTE | 1. Funds were available for legislative consideration | 2. There is a general fund commitment | 3. There was no emergency allocation | 4. No specific added services | 5. Matter could have been presented to Legislature | 6. Exceeds biennium time limit | 7. Expenditures not limited by year | 8. The service is not necessary | 9. There were reasonable alternatives | 10. No specific evaluation criteria |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 0996 | Water Quality | Federal | $12,000 FY92 | 0.00 | | | | | | | | | | |
| | | | $48,000 FY93 | 0.00 | | | | | | | | | | |

COMMENTS: This budget amendment will provide $60,000 of federal appropriation authority to the Department of Health and Environmental Sciences to fund a diagnostic and feasibility study on Swan Lake. The objective of the study will be to determine the causes and sources of seasonal oxygen depletions recorded near the bottom of the lake. The department will contract the work with the Flathead Lake Biological Station, University of Montana. The total project cost is $100,000 and there is a 30 percent matching requirement. The $30,000 match will be provided by the University of Montana. The department will request $10,000 in federal appropriation authority in the next biennium. This grant has not been received, therefore, the committee may wish to approve this budget amendment contingent on receipt of grant award.

ADDED SERVICES: This budget amendment will provide funding for the following:

1. development of an accurate morphometric map of the lake;
2. a comprehensive lake sampling program;
3. an analysis of sediment and nutrient loading and its relationship to observed patterns of oxygen depletion; and
4. general measures of land use in the Swan Lake catchment.

EFFECTIVENESS EVALUATION: Quarterly reports will be prepared by department and university personnel. The department will prepare a written evaluation of the project and submit the report to the Legislative Fiscal Analyst by August 15, 1993. This report will be based on an evaluation of each of the services listed above.

BA2:mb:LDS3-24.11

# BUDGET AMENDMENT - FISCAL 1992

## AGENCY: HEALTH AND ENVIRONMENTAL SCIENCES

| BA# | PROGRAM | FUND SOURCE | AMOUNT | | FTE | 1. Funds were available for legislative consideration | 2. There is a general fund commitment | 3. There was no emergency allocation | 4. No specific added services | 5. Matter could have been presented to legislature | 6. Exceeds biennium cap limit | 7. Expenditures not itemized by year | 8. The service is not necessary | 9. There were reasonable alternatives | 10. No specific evaluation criteria |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 0997 | Cent. Serv. | Proprietary | $10,147 | FY92 | 0.16 | | | | | | | | | | |
| | | | $37,005 | FY93 | 1.00 | | | | | | | | | | |

COMMENTS: The department requests $47,152 of appropriation authority to fund the addition of 1.00 FTE, grade 15 accountant in the Centralized Services Division. Funding will be generated through an indirect cost assessment applied to the additional personal services added through budget amendments and operational plan changes affecting federal grants and state special revenue funds. Increased volume in both dollars and numbers of budget amendments require administrative support to comply with grant conditions and state and federal financial reporting requirements.

ADDED SERVICES: Approval of this budget amendment will allow the department to provide the support needed to track, report, and maintain the additional financial accounting records attributable to budget amendments and operational plan changes.

EFFECTIVENESS EVALUATION: A report will be provided to the Legislative Fiscal Analyst by August 15, 1993. The evaluation criteria used in the report will be as follows:

1) One grade 15 accountant will be hired to provide support to the grants added by budget amendment;
2) The position will provide financial assistance, draw cash, produce interim and final federal financial reports for each grant that requires one, produce budget information for SBAS, and assist program managers with grant applications for all new programs added by budget amendments; and
3) Purchase office furniture and a computer for the new position.

BA2:mb:LDS3-24.12

# BUDGET AMENDMENT - FISCAL 1992

## AGENCY: HEALTH AND ENVIRONMENTAL SCIENCES

| BA# | PROGRAM | FUND SOURCE | AMOUNT | FTE | 1. Funds were available for legislative consideration | 2. There is a general fund commitment | 3. There was no emergency allocation | 4. No specific added services | 5. Matter could have been presented to legislature | 6. Exceeds biennium the limit | 7. Expenditures not itemized w/ year | 8. The service is not necessary | 9. There were reasonable alternatives | 10. No specific evaluation criteria |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 0998 | Solid/HW | State Special | $10,067 FY92 | 0.16 | | | | | | | | | | |
| | | | $54,125 FY93 | 1.00 | | | | | | | | | | |

COMMENTS: The department requests appropriation authority to spend $64,192 of the Hazardous Waste CERCLA account. The department will hire an Environmental Program Manager to coordinate and communicate with local governments and citizen groups in the Clark Fork Basin. This person will also work with all state agencies involved in issues pertaining to Superfund cleanups in the Basin. According to 75-10-621-4, MCA, remedial action funded with CERCLA funds meets the definition of "emergency", therefore, this budget amendment is an allowable use of state special revenue funds.

ADDED SERVICES: The additional services provided by the Clark Fork Basin Program Manager position will include coordination of all state agency activities in the Clark Fork Basin, communication with local governments and citizen groups in the Basin, and management of state Superfund activities in the Basin.

EFFECTIVENESS EVALUATION: A written evaluation will be submitted to the Legislative Fiscal Analyst by August 15, 1993. This evaluation will be based upon the following criteria:

1) A survey of Clark Fork Basin local government administrators will be conducted. This survey will gather opinions concerning the value of the state Clark Fork Basin Program Manager in facilitating cleanup of hazardous substances and maintaining good communication with state agencies;
2) Monthly meetings will be held with State Superfund Project Officers and EPA Remedial Project Managers;
3) Quarterly meetings will be held with state agencies involved in Clark Fork River Basin activities and quarterly reports will be submitted to the Governor's Office; and
4) Communication with citizen groups interested in Clark Fork Basin issues will be maintained through attendance at quarterly Clark Fork Forum meetings.

BA2:mb:LDS3-24.13

# UDGET AMENDMENT - FISCAL 1993

GENCY: HEALTH AND ENVIRONMENTAL SCIENCES

| AII | PROGRAM | FUND SOURCE | AMOUNT | FTE | 1. Funds were available for legislative consideration | 2. There is a general fund commitment | 3. There was no emergency allocation | 4. No specific added services | 5. Matter could have been presented to legislature | 6. Exceeds biennium time limit | 7. Expenditures not itemized by year | 8. The service is not necessary | 9. There were reasonable alternatives | 10. No specific evaluation criteria |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 0999 | Solid/HW | State Special | $5,000 FY92 | 0.00 | | | | | | | | | | |
| | | | $30,000 FY93 | 0.00 | | | | | | | | | | |

COMMENTS: The department requests appropriation authority to provide one-half of the funding for a person to act as a liaison/coordinator between the Department of State Lands and the Department of Health and Environmental Sciences (DHES) for abandoned mine related projects. State lands has an authorized position and DHES will contract for the personal services. Both agencies have jurisdiction and authority relating to mining sites and wastes. The department will utilize funds from the Hazardous Waste CERCLA account to fund this budget amendment. According to 75-10-621-4, MCA, remedial action under section 104 of CERCLA funds meets the definition of "emergency", therefore, this budget amendment is an allowable use of state special revenue funds.

ADDED SERVICES: This position will be responsible for completing a statewide mine site inventory and prioritizing the sites in order to develop an orderly process for addressing the worst sites first. The position will also be responsible for coordinating any cleanup project activities between the two agencies.

EFFECTIVENESS EVALUATION: A report will be submitted to the Legislative Fiscal Analyst by August 15, 1993. This report will include an evaluation based upon the following criteria:

1) An inventory list of all abandoned mine sites in Montana will be completed; and
2) The inventory list will be prioritized into high, medium and low priority categories.

BA2:mb:LDS3-24.14

# BUDGET AMENDMENT - FISCAL 1992

## AGENCY: HEALTH AND ENVIRONMENTAL SCIENCES

| All | PROGRAM | FUND SOURCE | AMOUNT | FTE | 1. Funds were available for legislative consideration | 2. There is a general fund commitment | 3. There was no emergency allocation | 4. No specific added services | 5. Matter could have been presented to Legislature | 6. Exceeds biennium time limit | 7. Expenditures not limited by year | 8. The service is not necessary | 9. There were reasonable alternatives | 10. No specific evaluation criteria |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1000 | Family/MCH | Federal | $167,027 FY92 | 0.00 | | | | | | | | | | |

COMMENTS: The department requests $167,027 in federal authority to reimburse public and private non-profit institutions for meal costs associated with the Child Nutrition Program. State administrative expenses will increase by $9,313 and are included in this total. The additional funds will allow the department to comply with federal regulations on local program reviews and to provide training and technical assistance to local participants.

ADDED SERVICES: This amendment will provide an additional 199,637 meals to children in the program, based on an average cost of $2.37 per day per child. Twenty-one meal sites will be reviewed and will receive technical assistance. A contract will be awarded to develop the DIETARY GUIDELINES video and materials.

EFFECTIVENESS EVALUATION: A written evaluation of the services provided by this budget amendment will be provided to the Legislative Fiscal Analyst by November 15, 1992. The evaluation will be based on the number of meals served, the number of meal sites reviewed and provided with technical assistance and the status of the contract to develop the video and materials.

BA2:mb:LDS3-24.15

# BUDGET AMENDMENT - FISCAL 1992

## AGENCY: DEPARTMENT OF ADMINISTRATION

| NO | PROGRAM | FUND SOURCE | AMOUNT | FTE | 1. Funds were available for legislative consideration | 2. There is a general fund commitment | 3. There was an emergency allocation | 4. No specific added services | 5. Matter could have been presented to Legislature | 6. Exceeds biennium rate limit | 7. Expenditures not itemized by year | 8. The service is not necessary | 9. There were reasonable alternatives | 10. No specific evaluation criteria |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1009 | Information | Proprietary | $201,000 FY92 | 0.00 | | | | | | | | | | |

COMMENTS: This budget amendment adds $201,000 proprietary authority to purchase communications and local area network equipment for the Department of Justice motor vehicle registration system. House Bill 579 passed by the 1991 legislature implemented a fee of $1 per vehicle registration to operate a county motor vehicle system to be used jointly by the Department of Justice, county treasurers, and their employees. The bill established an advisory group to determine how the statewide system was to be implemented. This budget amendment allows the Information Services Division to implement the plan adopted by the advisory group.

ADDED SERVICES: Each county will be connected to the central motor vehicle system.

EVALUATION OF EFFECTIVENESS: The additional services will be evaluated on: 1) computer networks will be installed in the 43 counties which currently do not have access to the automated, central system; 2) upgrades will be made to the automated systems in 13 counties; and 3) the Registrar's Bureau in Deer Lodge will be connected to the automated system. A report will be submitted to the LFA August 15, 1993.

BA2:mb:LAS3-23.04

# BUDGET AMENDMENT - FISCAL 1992

## AGENCY: DEPARTMENT OF TRANSPORTATION

| All | PROGRAM | FUND SOURCE | AMOUNT | FTE |
|---|---|---|---|---|
| 1010 | General Operations | Federal | $ 550 FY92<br>$9,530 FY93 | 0.00 |

1. Funds were available for legislative consideration
2. There is a general fund commitment
3. There was no emergency allocation
4. No specific added services
5. Matter could have been presented to Legislature
6. Exceeds biennium time limit
7. Expenditures not itemized by year
8. The service is not necessary
9. There were reasonable alternatives
10. No specific evaluation criteria

COMMENTS: This budget amendment provides appropriation authority for the Department of Transportation to obtain federal funds in fiscal 1992 ($550) and fiscal 1993 ($9,530) from the Federal Highway Administration for participation in an Intelligent Vehicle Highway Study (IVHS) with the states of Idaho, Washington, Oregon, and Wyoming. These funds have not been received by the department so the committee may wish to make its acceptance contingent upon receipt of the funds.

ADDED SERVICES: The funds provided by this amendment will allow the Department of Transportation to participate in the IVHS study of existing administrative, institutional, organizational, and statutory barriers that limit the implementation of intelligent vehicle highway system technologies that could improve the efficiency and productivity of state agencies and the motor carrier industry. The data collected should enable the state to improve the economic climate for interstate commerce, increased highway system safety, and decrease paperwork imposed on trucking firms within and across state borders.

EFFECTIVENESS EVALUATION: Criteria by which the additional services can be evaluated will be provided in a report presented by August 15, 1993, assessing the results of the study, which is to determine if the benefits of implementing an IVHS outweigh the costs.

BA2:lt:CLS3-23.07

# BUDGET AMENDMENT - FISCAL 1992

## AGENCY: STATE FUND

| BA# | PROGRAM | FUND SOURCE | AMOUNT | FTE | 1. Funds were available for legislative consideration | 2. There is a general fund consistency | 3. There was an emergency allocation | 4. No specific added services | 5. Matter could have been presented to legislature | 6. Exceeds biennium time limit | 7. Expenditures not limited by year | 8. The service is not necessary | 9. There were reasonable alternatives | 10. No specific evaluation criteria |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1011 | State Fund | Proprietary | $5,500,000 | FY92 | 0.00 | | | | | | | | | X |

COMMENTS: This budge amendment adds $5.5 million proprietary authority to fund additional workers' compensation benefits and claims. On December 3, 1991, the Board of Directors for the State Fund approved an interim rate increase raising policy premium revenue $5.5 to $6.0 million in fiscal 1992. The board approved the rate increase based on an actuarial evaluation of the financial condition of the fund. State Fund personnel testified during special session appropriation subcommittee hearings that additional authority would be needed to meet expected benefits costs in fiscal 1992.

ADDED SERVICES: The State Fund will fund additional workers' compensation claims and benefits.

EFFECTIVENESS EVALUATION: The additional services will be evaluated based on the availability of budget authority to meet statutory fiscal 1992 benefit payments. A report will be submitted to the LFA August 14, 1992.

CONCERNS: The evaluation criterion is inadequate. The "availability of budget authority" does not allow legislators, the executive or the State Fund Board of Directors to ascertain the anticipated number or types of services to be funded by the budget amendment or the effectiveness of the expenditure. Any budget amendment would be an effective expenditure of funds because every budget amendment provides additional budget authority.

BA2:mb:LAS3-23.05

# BUDGET AMENDMENT - FISCAL 1992

## AGENCY: DEPARTMENT OF LIVESTOCK

| BA# | PROGRAM | FUND SOURCE | AMOUNT | FTE | 1. Funds were available for legislative consideration | 2. There is a general fund commitment | 3. There was an emergency allocation | 4. No specific added services | 5. Matter could have been presented to legislature | 6. Exceeds biennium ties limit | 7. Expenditures not transferred b/ year | 8. The service is not necessary | 9. There were reasonable alternatives | 10. No specific evaluation criteria |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1032 | Disease Control | State Special | FY92 $50,000<br>FY93 $23,700 | 0.00 FTE | X | | X | | X | | | | | |

COMMENTS: This budget amendment would provide biennial authority for expenditures (some of which have already been incurred) for: 1) control measures to remove diseased bison; and 2) testing and controlling tuberculosis from game farm animals.

ADDED SERVICES: Planned services and expenditures, and those services currently being provided:

Bison ($44,200)

1. Samples from carcasses for disease analysis
2. Disposal of offal
3. Law enforcement presence for protection of personnel from agitators.
4. Legal justification for activities

Game Farm Animals ($29,500)

1. Testing of animals for tuberculosis
2. Surveillance of diseased animals
3. Coordinating disposal of destroyed carcasses
4. Paying indemnity to owners of destroyed animals ($17,500)

EFFECTIVENESS EVALUATION: A written evaluation of services provided will be provided to the Legislative Fiscal Analyst by August 15, 1993 based on providing 720 hours of field work.

CONCERNS: The following are areas in which this budget amendment does not meet the statutory criteria and areas of additional concerns:

1. Increased sampling and related activities do not appear to meet the statutory definition of an emergency for being a "catastrophe, disaster, calamity, or other serious unforeseen and unanticipated circumstance" which already has occurred, as required for expenditure of state special revenue.
2. This budget amendment would authorize expenditure of fund balance in the state special revenue animal health account. These funds were available for consideration by the 1991 legislature. No new revenue would be spent by this budget amendment. The approving authority certified that the department is unable within its existing funding to fund all or part of the additional services. The 1991 legislature appropriated an increase of $35,000 over fiscal 1990 expenditures of state special revenue for contracts in case of disease emergencies. Expenditures from this appropriation are not tracked separately.
3. House Bill 390 (which authorized the Department of Livestock to regulate wild bison) passed both houses on March 25, 1991. House Bill 2 (which authorized funding for the Department of Livestock) passed Senate Finance and Claims on April 1, 1991. Therefore, any anticipated expenses from the department's involvement with bison control could have been incorporated into the general appropriations act. The fiscal note accompanying House Bill 390 (prepared by the Department of Fish, Wildlife and Parks) stated there was no fiscal impact associated with the legislation.
4. Some costs to be referenced in the request have already been incurred. Therefore, the services to be funded by a portion of this budget amendment are not new services, but are already being provided. These expenses have already been paid out of the department's approved fiscal 1992 budget.
5. The department estimates that 350 infected game farm animals will be destroyed for which $17,500 in indemnity payments will be required. Statute (81-2-204, M.C.A) requires that "the state shall pay one-half of the indemnity out of the money at the disposal of the department. The county liable in part for the indemnity shall pay one-half of the total indemnity out of the general fund of the county". The Department of Livestock intends to pay $50 per head. The Department of Fish, Wildlife and Parks is currently paying $50 per head in addition to the amount the Department of Livestock pays. The maximum total combined amount of indemnity liability of the state set by statute (including the Department of Fish, Wildlife and Parks as well as the Department of Livestock) and a county is $50 for a grade (non-pure bred) animal. Therefore, for 350 animals, the state's liability would be $8,750.

BA2:mb:REL3-24.03

# BUDGET AMENDMENT - FISCAL 1992

## AGENCY: ENVIRONMENTAL QUALITY COUNCIL

| BA# | PROGRAM | FUND SOURCE | AMOUNT | FTE | 1. Funds were available for legislative consideration | 2. There is a general fund commitment | 3. There was no overspend/ allocation | 4. No specific added services | 5. Matter could have been presented to legislature | 6. Exceeds biennium time limit | 7. Expenditures not limited by year | 8. The service is not necessary/ | 9. There were reasonable alternatives | 10. No specific evaluation criteria |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 0001 | EQC | State Special | $10,000 FY92 | 0.00 | | | | | | | | | | |
| | | | $20,000 FY93 | 0.00 | | | | | | | | | | |

COMMENTS: This amendment requests authority to spend state special revenue of $10,000 in fiscal 1992 and $20,000 in fiscal 1993 to establish a working group to try and reach consensus on actions necessary to achieve cost-effective energy efficiency in new residential construction in Montana. This working group will consist of all the major stakeholders involved in energy efficient residential construction. The funds have been offered to EQC for this purpose from public and private utilities. EQC will facilitate the working group in conjunction with the HJR 31 Energy Policy Study.

Although funds contained in this budget amendment will be in a state special revenue account, they are not subject to the emergency criteria because they are private funds with restricted use and considered to be non-state other funds. Since EQC has not yet received these funds, the committee may wish to make its approval contingent upon receipt of the funds.

ADDED SERVICES: A working group will be established with these funds. EQC will contract with a facilitator to convene, organize, coordinate, and provide technical and other support services to the working group.

EFFECTIVENESS EVALUATION: The criteria by which effectiveness will be evaluated are: 1) whether proposals for energy efficiency in new residential construction are recommended to the 1993 legislature; 2) whether all identifiable stakeholders have participated in the project and made their views known; 3) whether the facilitator has fully performed his/her duties as identified in the contract; and 4) whether any recommended proposals are favorable considered by the 1993 legislature. A report addressing the above criteria will be presented to OBPP and the LFA by August 15, 1993.

BA2:mb:THP3-25.01